

Neutrino Mass  
and  
Grand Unification

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Lecture  $\underline{\chi}$

19/11/2021

LSTU  
Fall 2021



$SU(5)$ : (SSB) Yukawa sector,  
p decay and all that (I)

1st stage:  $\Sigma \rightarrow U \Sigma U^+ (2\gamma_H)$   
(Adjoint)

$$\langle \Sigma_0 \rangle \cong M_{GUT} > 10^{15} \text{ GeV}$$

(p life-time)

$$SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$$
$$\langle \Sigma_0 \rangle$$

$$\langle \Sigma_0 \rangle = v_x \text{ diag } (1, 1, 1, -\frac{3}{2}, -\frac{3}{2})$$

$$v_x \equiv v_{GUT}$$

2<sup>nd</sup> stage

$$H \equiv 5_H = \begin{pmatrix} T \\ \dots \\ \Phi(D) \end{pmatrix} \} \text{ weak}$$

$\uparrow$  doublet

$$5_F = \begin{pmatrix} d \\ e^c \\ -v^c \end{pmatrix}_Q$$

$$\Rightarrow Q(T) = Q(d)$$

$$Y(T) = Y(d_R)$$

$$\boxed{\langle H \rangle = \langle 5_H \rangle = \begin{pmatrix} 0 \\ \langle \Phi \rangle = M_W \end{pmatrix}}$$

??

Decoupling : I ignore

all the heavy fields in  $\Sigma$

( $m_8, m_3, \dots$ )

propagate :  $\sim \frac{E^2}{M_X^2} = \frac{E^2}{m_{\text{GUT}}^2}$

$E \simeq M_W$  (weak int. scale)

However:  $\langle \Sigma_0 \rangle = \text{fundamental}$

?

?

$$\bar{V} = V(\Sigma) + V(H) +$$

↗

$$+ \bar{V}(\Sigma, H)$$

last  
lecture

$$V(H) = \frac{\lambda}{4} (H^T H - \vartheta_w^2)^2$$

$$= -\frac{\alpha_H^2}{2} H^T H + \frac{\lambda}{4} (H^T H)^2 + \text{const}$$

$$V(\Sigma, H) = \alpha H^T H \operatorname{Tr} \Sigma^2 +$$

$$+ \cancel{3} H^T \Sigma^2 H$$

crucial

$$\Sigma \rightarrow \Sigma^0 = v_x \text{ diag } (1, 1, -\frac{3}{2}, -\frac{3}{2})$$

$$\alpha: \frac{15}{2} v_x^2 d H^+ H$$

R mass term

$$-\frac{\mu_H^2(\text{eff})}{2} = -\frac{\mu_H^2}{2} + \frac{15}{2} d v_x^2$$

mass term (effective)

$$\beta: \Sigma_0^2 = v_x^2 (1, 1, \frac{9}{4}, \frac{9}{4})$$

$\beta$   $H^+ \Sigma_0^2 H$  & splits  $T$  into  
 $D(\bar{D})$

$$m_T^2 = -\frac{\mu_H^2}{2} + \beta v_x^2$$

$\nearrow$   
effective

$$m_D^2 = m_{\tilde{g}}^2 = -\frac{\mu_H^2}{2} + \frac{g}{4}\beta v_x^2 \simeq M_W^2$$

$$(m_h^2 = 2\mu_H^2)$$

$$M_W/M_X \simeq 0$$

$m_0^2 \simeq 0$  (compared to  $M_X$ )

$$\Rightarrow \boxed{\mu_H^2 \simeq \frac{g}{2}\beta v_x^2} \quad (\beta = ?)$$

$$\Rightarrow m_T^2 = -\frac{\mu_H^2}{2} + \beta v_x^2 = \underbrace{-\frac{\mu_H^2}{2}}_0 + \frac{9}{9} \beta v_x^2$$

$$-\frac{5}{9} \beta v_x^2$$



$$m_T^2 = -\frac{5}{9} \beta v_x^2$$

( $T =$   
color triplet)



$$\beta < 0 \quad (m_T \gg m_D)$$



SM Higgs potential for  $\bar{\Phi}$

$$V_H(\text{eff}) = -\frac{\mu_H^2}{2} \bar{\Phi}^+ \bar{\Phi} +$$

↗

$$+ \frac{\lambda}{4} (\bar{\Phi}^+ \bar{\Phi})^2$$

final, effective (small on  
GUT scale)

↓

$\langle \bar{\Phi} \rangle = \begin{pmatrix} 0 \\ v_w \end{pmatrix} \quad su(2)$

⇒ "would be" Goldstones

$$\bar{\Phi} = \begin{pmatrix} b_w^+ \\ v + b + i b_z \end{pmatrix}$$

$b_w^+$

$v + b + i b_z$

$G_W^+ = \text{eaten by } W^+$

$G_Z = -\text{II} - \text{by } Z$



$T = \text{physical particle}$

(nobody can eat  $T$ )

$(x, y) = \text{doublet of } SU(2)$

$T = \text{singlet of } SU(2)$

$\Rightarrow (x, y)$  cannot eat  $T$

  $\boxed{\text{Physics of } T}$  (D)

## Yukawa sector

$$5_F = \begin{pmatrix} d \\ e^c \\ -\nu^c \end{pmatrix}_R \quad (\bar{5}_F = \begin{pmatrix} d^c \\ \nu \\ e \end{pmatrix}_L)$$

$$(AS) \quad 10_F = \begin{pmatrix} u^c & | & u \ d \\ \hline & | & \hline 0 & e^c \\ \hline -e^c & 0 \end{pmatrix}_L$$

Yukawa:

$$y \bar{f}_R \phi f_L + h.c.$$

(Lorentz)

$$\mathcal{L}_y (SU(5)) = \bar{5}_F^i Y_i 10_{F,i} j \bar{5}_H^{*j}$$

$i = 1, \dots, 5$        $\uparrow (H)$

$$+ \underset{i}{5_F^T} C \underset{j}{5_F} \underset{*}{10_H^{ij}} \underset{\text{no}}{\cancel{*}}$$

$$+ \underset{i,j}{10_{F_{ij}}^T} C Y_2 \underset{k,e}{10_{F_{ke}}} \underset{H,m}{5_{Hm}} \epsilon^{ijklemn}$$

Lorentz (NOT SU(5))

Inv.

C

SU(5) Inv. ~~✓~~ YES

$$\underline{SU(2)} \quad D_1^T i \sigma_2 D_2 = D_1^T \in D_2$$

$$\underline{SU(3)} \quad T_1^i T_2^j T_3^k \epsilon_{ijk}$$

(a) Masses

$$5_H \equiv H \Rightarrow \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ v_w \end{pmatrix}$$

$$5_{H_i} \rightarrow \langle 5_H \rangle_i = v_w f_i 5$$


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Q.  $\Sigma$  couples to fermions?

$$\bar{5}_F^i \mid 0_{Fju} \sum_i^k$$

$$\Sigma \rightarrow U \Sigma U^+ \quad \frac{\uparrow}{(5 \times \bar{5})} \quad \underline{\text{sticking!}}$$

$$\bar{5}_F^i \ 5_F; \ \sum_i^j \stackrel{?}{=} \text{inv.}$$



$\bar{5}_F^i \ 5_{F^i} \stackrel{?}{=} \text{invariant}$



NOT Lorentz inv.

$$\cancel{5_F} \ \cancel{5_F} = \cancel{5_{FR}} \ \cancel{5_{FR}}$$

Reminder

(DIRAC)  $\overline{f_L} f_R$

(MAJORANA)  $f_L^T c f_L, f_R^T c f_R$



NO direct mass term  
in SM

$$L_L = \begin{pmatrix} u \\ d \end{pmatrix}_L \quad u_R, d_R$$

$$(D) \quad \bar{q}_L \bar{u}_R, \quad \bar{q}_L \bar{d}_R \quad SU(2)$$

$$(M) \quad u_R^T \epsilon \bar{u}_R \quad (\gamma, \text{color})$$

$\Rightarrow$  SM = chiral theory

↓  
HGST break  $G_{SM}$  (Higgs)

NO direct mass in  
 $SU(5)$

$$5_F = \left( \begin{array}{c} \\ R \end{array} \right), \quad 10_F = \left( \begin{array}{c} \\ L \end{array} \right)$$

(D)  ~~$\bar{5}_F 5_F$~~  Lorentz

(M)  $5_F^T C 5_F \quad SU(5)$

(same for  $10_F$ )



$SU(5) = \text{chiral theory}$

(NO mass term)

$$Y_i : \bar{5}_F^i Y_i 10_{F_{ik}} \vartheta_w \delta^{k5}$$

$$= \vartheta_w \bar{5}_F^i Y_i 10_{F:5}$$

$$(i=1,2,3) \rightarrow \vartheta_w \bar{\phi}_R^o Y_i \phi_L^o + h.c.$$

$$Y_i \equiv Y_D \xleftarrow{d_L^o} d_L^o = \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}^o$$

$Y_i \neq$  not diagonal

$$(M_D = Y_D \vartheta_w)$$

$$(i=4) \rightarrow \vartheta_w \bar{e}_R^c Y_i e_L^c + h.c.$$

$$e_L^c \equiv C \bar{e}_R^T, \quad e_R^c \equiv C \bar{e}_L^T$$

$$\bar{e}_R^T \gamma_1 e_L^c = \overline{c \bar{e}_L^T} \gamma_1 c \bar{e}_R^T$$

$$= [c(e_L + \gamma_0)^T]^+ \gamma_0 \gamma_1 c \bar{e}_R^T$$

$$= (c \gamma_0 e_L^*)^+ \gamma_0 \gamma_1 c \bar{e}_R^T$$

$$= e_L^T \gamma_0 c + \gamma_0 \gamma_1 c \bar{e}_R^T$$

$$= e_L^T \underbrace{(-c^T c)}_{-I} \gamma_1 \bar{e}_R^T =$$

$$= \bar{e}_R^T \gamma_1^T e_L$$

↓

$$\text{d}_{\mathcal{W}} \bar{e}_R^T \gamma_1^T e_L \Rightarrow$$

$$M_e = v_w Y_1^T = M_D^T$$

masses "run"  $\Rightarrow$

$$m_f(E)$$

which  $E$ ?

$$M_e = M_D^T$$

$$(M_{D^T} = E)$$

$$M_e = M_d$$



$$\left. \begin{array}{l}
 M_b \simeq 3 M_\tau \quad \text{OK?} \\
 M_s \simeq 3 M_\mu \quad \underline{\text{no}} \\
 M_d \simeq 3 M_e \quad \underline{\text{no}}
 \end{array} \right\} E = \text{GeV}$$

↓

5 MeV

FAILURE? :

$$Y_2 : \quad 10_{F_{ij}}^T C Y_2 10_{F_{he}} \langle 5_{Hm} \rangle \in ijheu$$

$$\rightarrow 10_{F_{ij}}^T C Y_2 10_{F_{he}} v_w \in ijhe5$$

$$(12)(34) \left( u_L^c \right)^T C Y_2 u_L v_w$$

$\uparrow \text{col } 3 \quad \uparrow \text{col } 3$

$$\Rightarrow \vartheta_w (\mathbf{c} \bar{\mathbf{u}}_R^\top)^\top \mathbf{c} \gamma_2 \mathbf{u}_L =$$

$$= \vartheta_w \bar{\mathbf{u}}_R^\top \mathbf{c}^\top \mathbf{c} \gamma_2 \mathbf{u}_L = \vartheta_w \bar{\mathbf{u}}_R^\top \gamma_2 \mathbf{u}_L$$

$$\Rightarrow \boxed{\begin{aligned} M_u &= \gamma_2 \vartheta_w \\ \Rightarrow \gamma_2 &= \gamma_u \end{aligned}}$$

yukawa:  $\mathbf{1} \mathbf{O}_F^\top \gamma_2 \mathbf{1} \mathbf{O}_F$

$$\Rightarrow \boxed{\gamma_2 = \gamma_2^\top}$$

(Check !)

↓

$$M_u = M_u^T, \quad M_d = M_e^T$$

Minimal  $SU(5) =$

$\Rightarrow$  "completely" predictive

Stay tuned!

$$\mathcal{L}_Y = \overline{5}_F^i Y_1 10_{F,j} {}^*_{\bar{5}_H} + h.c.$$

↑

T: take out

$$T_1^*: \bar{5}_F^i 10_{F,i},$$

$$-1 + 2/3 = -1/3$$

$$= \bar{d}_R \gamma_d u_L^c + \bar{e}_R^c \gamma_d u_L \checkmark$$

$i = 1, 2, 3 \rightarrow i = 4$

$(\text{color}) \quad \begin{matrix} 1/3 & -2/3 \\ = -1/3 \checkmark & \end{matrix}$

$+ \bar{v}_R^c \gamma_d d_L \checkmark$

$i = 5$

$(-1/3)$



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$$\left( \bar{d}_R \gamma_d u_L^c + \bar{e}_R^c \gamma_d u_L + \bar{v}_R^c \gamma_d d_L \right)^*$$

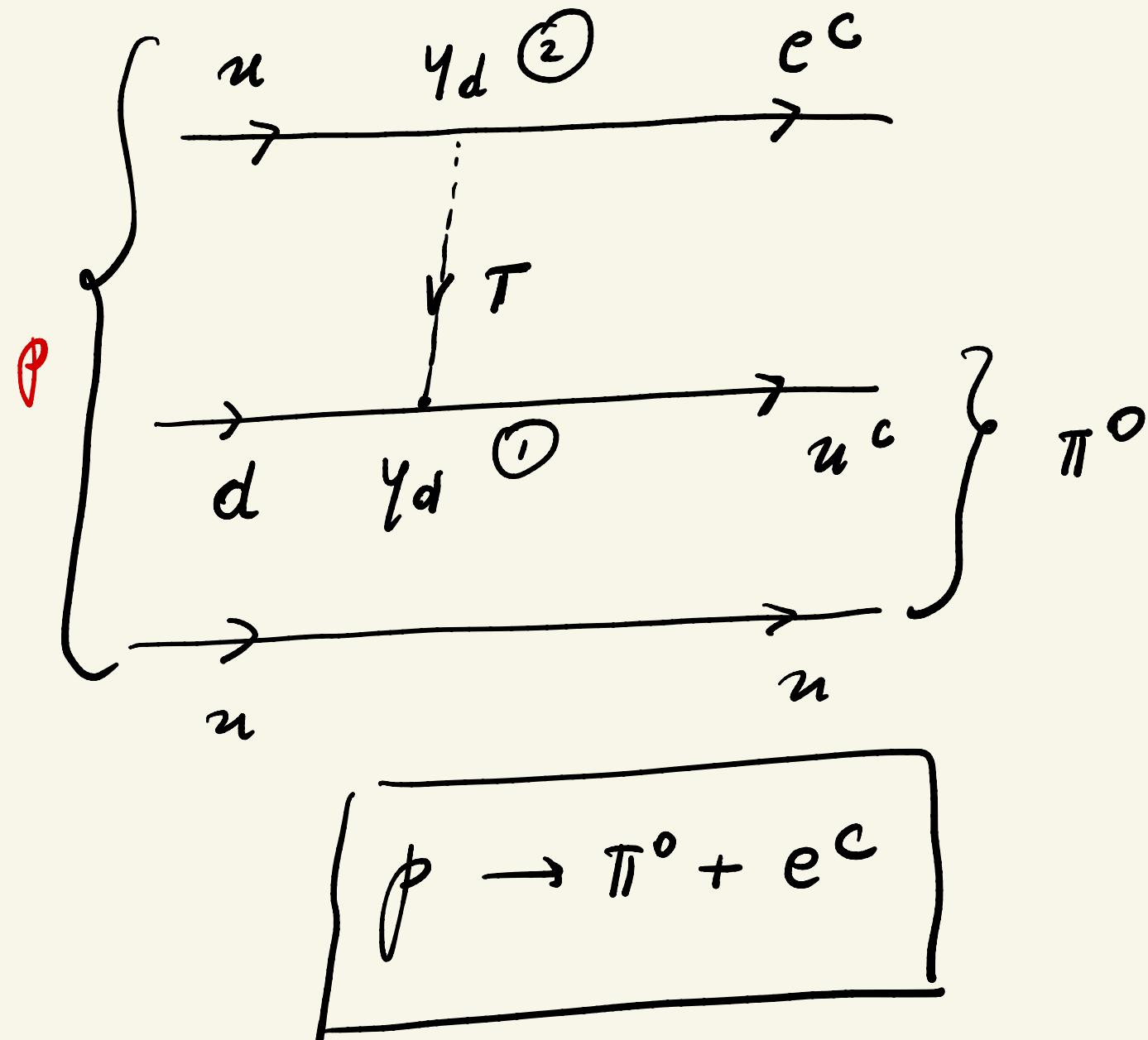
①                  ②                  ③ + h.c.

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↑                  ↓                  ↑

$\underbrace{-1/3 - 1/3}_{\Downarrow} = -2/3$        $0 - 1/3 = -1/3$

$B$  is broken  $\Rightarrow \rho$  decay



$$\Rightarrow \frac{\gamma_d^2}{M_T^2} \simeq \frac{g^2}{M_X^2}$$

$$M_T \simeq M_X \frac{y_d}{g} > 10^{15} \frac{y_d}{g} \text{ GeV}$$

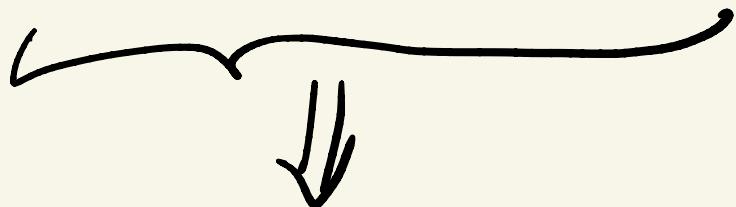
$$(T_p > 10^{34} \text{ yr})$$

$$\Rightarrow \boxed{M_T \gtrsim 10^{12} \text{ GeV}}$$

$\rho$  stability

- $M_T^2 = -\frac{\mu_h^2}{2} + \beta v_x^2 > (10^{12} \text{ GeV})^2$

- $M_D^2 = -\frac{\mu_h^2}{2} + \frac{9}{4}\beta v_x^2 \simeq M_W^2$



## Fine Tuning (FT)

between  $\rho v_H^2$  and  $\rho v_X^2$

(each  $> (10^{12} \text{ GeV})^2$ )

## D-T splitting

Called: Problems ?

NOT a problem ,

but to me it's ugly

Stacy CP: problem or blessing?

G. S., Tello

inspire

Natural Philosophy vs  
Philosophy of Naturality

Sejeanc'c'

Generalitas:

$\gamma = \text{matrix}$

1 gen

$\gamma = \text{number}$

*Quantities:*



$M_f$  = matrices