

Neutrino Mass
and

Grand Unification

Lecture VIII

12/11/2021

LMU
Fall 2021



SU(5) GUT : predictions

- SU(5)
- matter content : q, l

$$\bar{5}_F = \begin{pmatrix} d^c \\ \vdots \\ \nu \\ e \end{pmatrix}_L$$

$$10_F = \begin{pmatrix} u^c & u & d \\ & & e^c \end{pmatrix}_L$$

↑
anti-symmetric



besides gluons, W, Z, γ

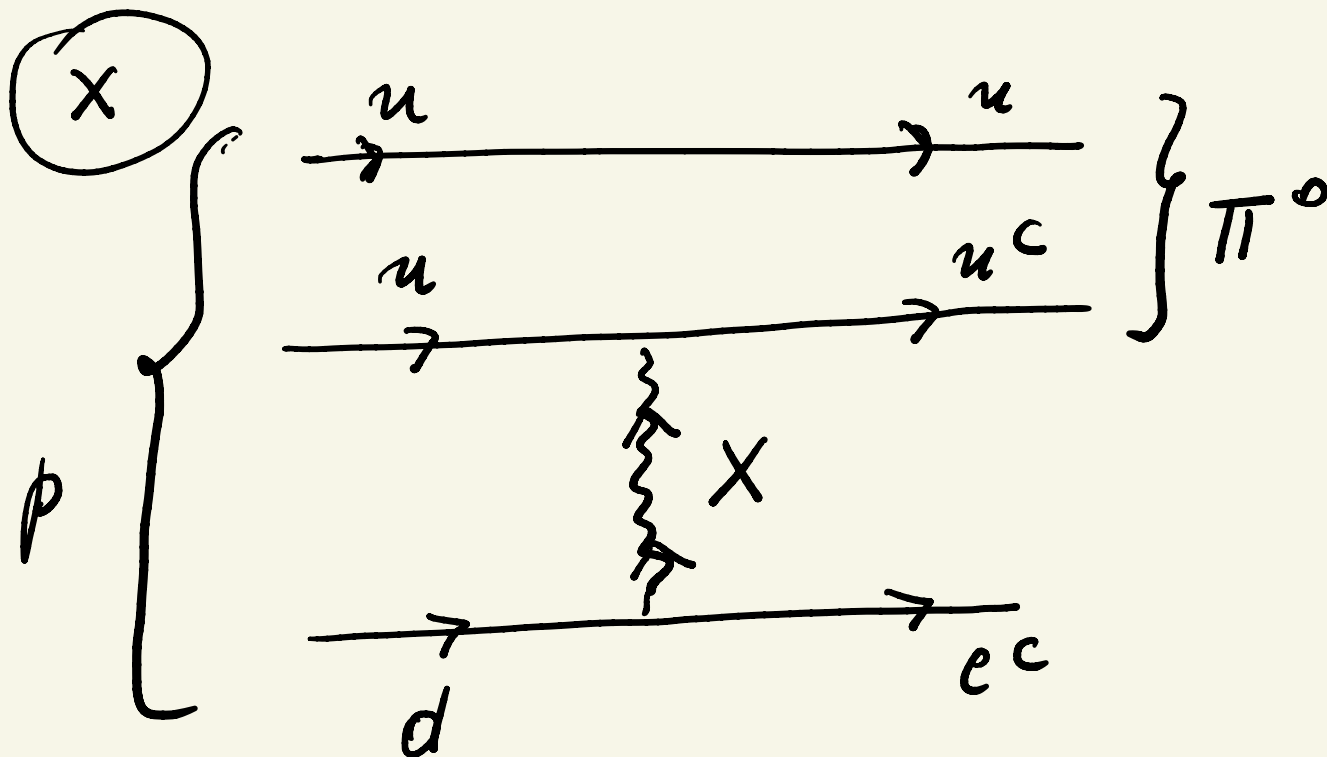


$$X, Y^{\alpha}; \quad \bar{X}, \bar{Y}^{\alpha}$$

SV(2)

$$X_{\mu} \left[\underline{\bar{u}^c \gamma^{\mu} u} + \underline{\bar{d} \gamma^{\mu} e^c} + \underline{\bar{e} \gamma^{\mu} d^c} \right]$$

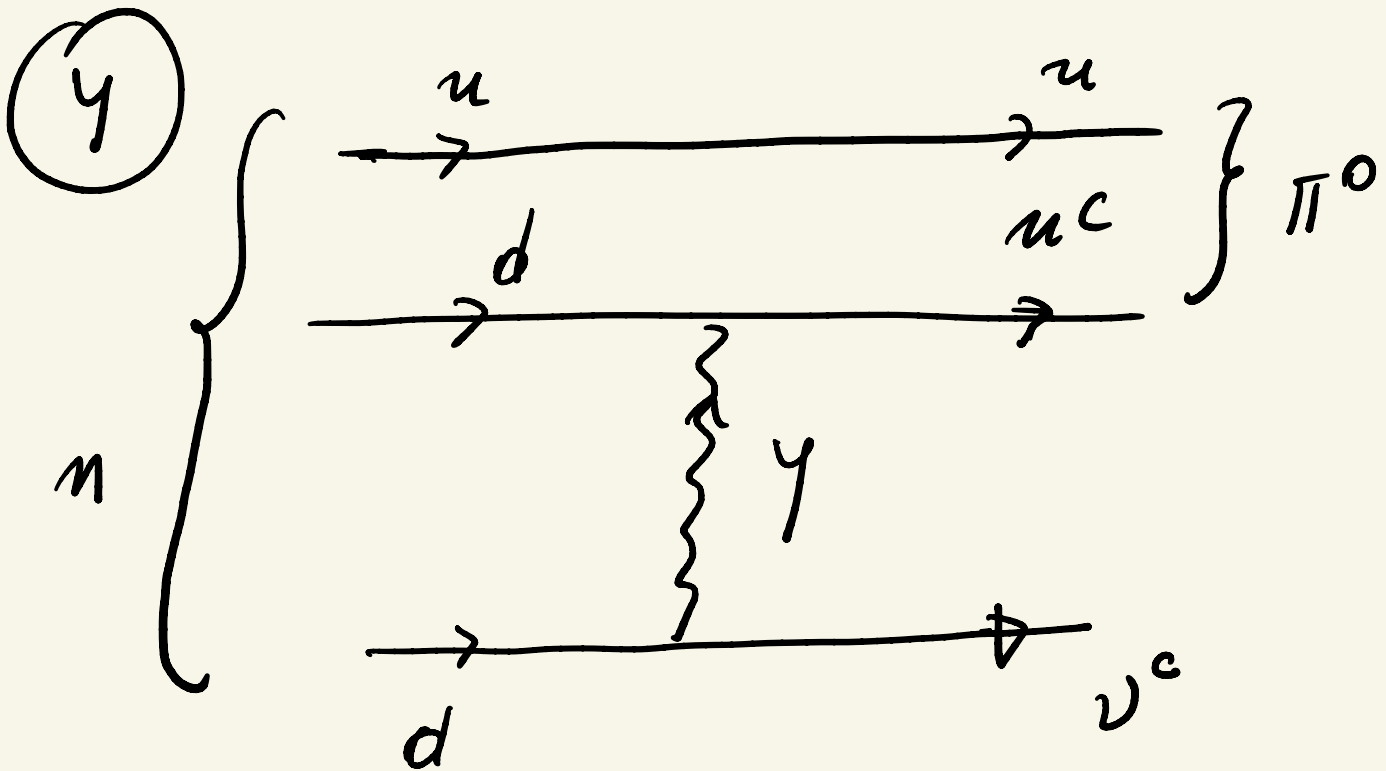
$$Y_{\mu} \left[\underline{\bar{u}^c \gamma^{\mu} d} + \underline{\bar{u} \gamma^{\mu} e^c} + \underline{\bar{v} \gamma^{\mu} d^c} \right] + h.c.$$



$$\boxed{\phi \rightarrow e^c + \pi^0}$$

$$\Delta B \neq 0, \Delta L \neq 0$$

$$\{ \Delta (B-L) = 0 \}$$



$$\boxed{n \rightarrow \pi^0 + \nu^c}$$

$$\Delta B \neq 0, \Delta L \neq 0$$

$$(\Delta (B-L) = 0)$$

$$\mu \rightarrow e^c + \pi^- \quad \text{etc}$$

...

$$p \rightarrow \pi^0 + e^c$$

Kamioka
(Super)

50,000 tons of
water

$$\tau_p(p \rightarrow \pi^0 e^c) \gtrsim 10^{35} \text{ yr}$$

$$[\tau_p \equiv \tau_p(p \rightarrow \pi^0 e^c)]$$

• violation of charge

$$e \rightarrow \nu + \gamma$$

$$\tau(e \rightarrow \nu \gamma) \gtrsim 10^{28} \text{ yr}$$

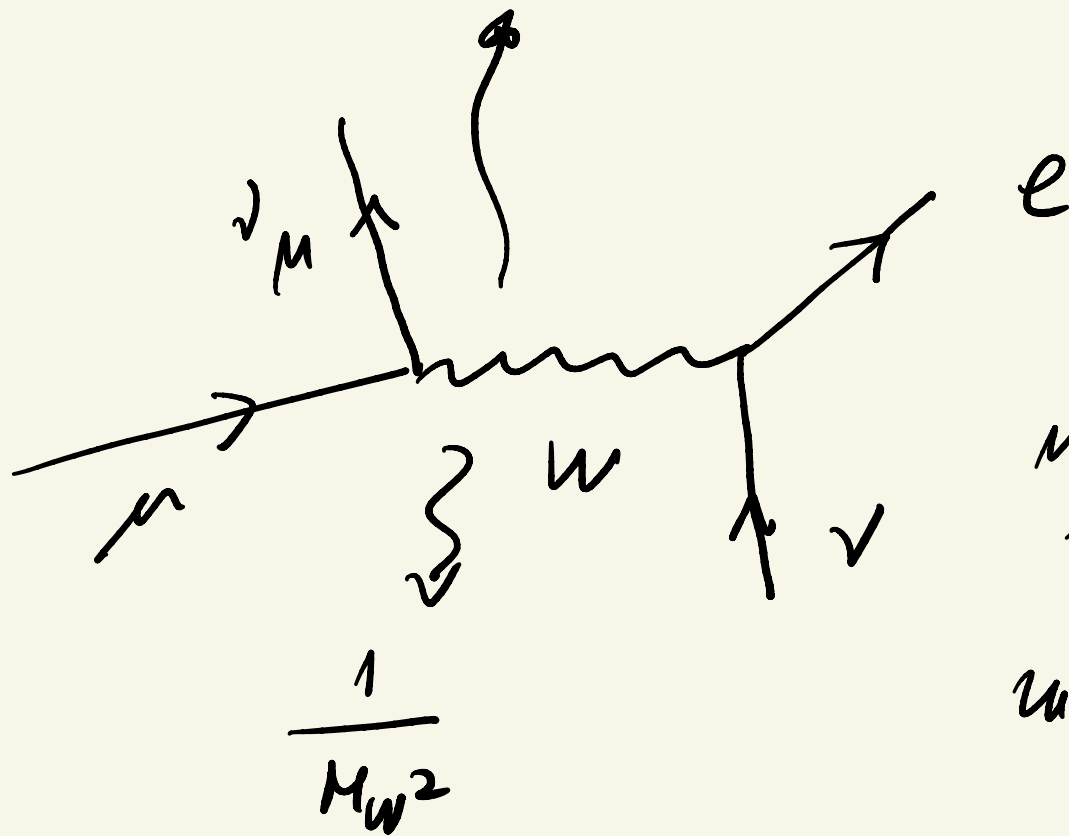
• weak int. decay

$$\begin{array}{l} (i) \quad n \rightarrow p + e + \bar{\nu} \\ \tau_n \simeq 10 \text{ min} \end{array} \left. \vphantom{\begin{array}{l} (i) \quad n \rightarrow p + e + \bar{\nu} \\ \tau_n \simeq 10 \text{ min} \end{array}} \right\} \begin{array}{l} \text{long!} \\ m_n \simeq m_p \end{array}$$

$$(ii) \quad \mu \rightarrow e + \bar{\nu}_e + \nu_\mu$$

$$\tau_\mu \simeq 10^{-6} \text{ sec}$$

$$\Gamma_\mu \propto \frac{1}{M_W^4} m_\mu^5$$



$$\Gamma_p \propto \frac{1}{M_X^4} m_p^5$$

$$m_p \approx 1 \text{ GeV}$$

$$M_X \approx 100 \text{ MeV}$$

$$\Downarrow \quad \tau = \tau^{-1}$$

$$\Rightarrow \boxed{\tau_p / \tau_\mu = \left(\frac{M_x}{M_W} \right)^4 \left(\frac{m_\mu}{m_p} \right)^5}$$

$$\tau_p / \tau_\mu \gtrsim \frac{10^{35} \gamma_\nu}{10^{-6} \text{ sec}} \simeq \frac{10^{42}}{10^{-6}} \simeq 10^{48}$$

$$\gamma_\nu \simeq \pi \times 10^7 \text{ sec} \simeq 3 \times 10^7 \text{ sec}$$

$$\Downarrow$$

$$\left(M_x / M_W \right)^4 \gtrsim \left(\frac{m_p}{m_\mu} \right)^5 10^{48} \simeq 10^{53}$$

$$m_\mu \simeq 100 \text{ GeV}$$

$$M_X > 10^{13} M_W \approx 10^{15} \text{ GeV}$$

- $M_X = ?$

Can I determine it?

M_Y : can it be low?

$\begin{pmatrix} X \\ Y \end{pmatrix}$: $SU(2)_L$ doublet

$$\Delta M(SU(2)) \leq M_W$$

$$\Rightarrow \boxed{M_x \simeq M_y}$$



$$\boxed{M_{\text{GUT}} = M_x}$$

$$> 10^{15} \text{ GeV}$$

↑
unification



break $SU(5)$

→ $U(1)_{\text{em}} \times SU(3)_c$

$$SU(5) \rightarrow SU(3)_c \times SU(2)_L \times U(1)_Y$$

$$\begin{array}{c} M_X \\ (a) \end{array} \quad \left. \begin{array}{c} \\ \\ \end{array} \right\} M_W (b)$$

$$U(1)_{em} \times SU(3)_c$$

(b) first

Q. Which Higgs representation?

A. Hint: it must contain
 $SU(2)_L$ doublet

↓ minimal such
rep.

$$\boxed{5_H}$$

$$5_F = \begin{pmatrix} d \\ e^c \\ -\nu^c \end{pmatrix} \left. \vphantom{\begin{pmatrix} d \\ e^c \\ -\nu^c \end{pmatrix}} \right\} \begin{array}{l} SU(2) \\ \text{doublet} \end{array}$$

$$5_H = \begin{pmatrix} T_1 \\ T_2 \\ T_3 \\ -\frac{1}{\sqrt{3}}\phi^+ \\ \phi^0 \end{pmatrix} \left. \vphantom{\begin{pmatrix} T_1 \\ T_2 \\ T_3 \\ -\frac{1}{\sqrt{3}}\phi^+ \\ \phi^0 \end{pmatrix}} \right\} \begin{array}{l} \text{color triplet Higgs} \\ \hline Q_T = -1/3 \end{array}$$

SM

$$\mathcal{L}_Y = \bar{l}_L \gamma_e \bar{\Phi} e_R$$

$$l_L = \begin{pmatrix} \nu \\ e \end{pmatrix}_L \Rightarrow \boxed{\Phi = \text{doublet}}$$

$\Downarrow$

$$\boxed{\text{in } SU(5) \quad \Phi \subseteq 5_H}$$

• Now part (a)

$$SU(5) \longrightarrow SU(3) \times SU(2) \times U(1) \\ \langle \bar{\Sigma} \rangle \quad (5 \rightarrow 321)$$

How to choose Σ ?

$$r(SM) = 4 \quad (= 2 + 1 + 1)$$

$$r(SU(5)) = 4$$

↑ 4 elem. of Cartan

$$C = \{ [T_i, T_j] = 0 \} =$$

$$\{ \text{diagonal } T_i, T_i T_j = 0 \}$$

$$\Rightarrow 4 \text{ such } T_i$$



$\langle \Sigma \rangle$ must preserve
rank

$SU(2)$: vector rep. breaks

$$SU(2) \rightarrow SO(2) = U(1)$$

vector = adjoint
(of $SO(3)$) (of $SU(2)$)



$\Sigma =$ adjoint of $SU(5)$



$$\Sigma \rightarrow U \Sigma U^\dagger, \quad \bar{\Sigma} = \Sigma^\dagger$$

$$+ T, \Sigma = 0$$

• if $\langle \Sigma \rangle \neq 0$

$$\langle \Sigma \rangle \rightarrow U \langle \Sigma \rangle U^\dagger$$

$\rightarrow$ diagonal

$$\langle \Sigma \rangle = \langle \Sigma^\dagger \rangle$$



$$\langle \Sigma \rangle = \text{diagonal} (a_1, a_2, a_3, a_4, a_5)$$

$$(\sum a_i = 0)$$

$$\Rightarrow a_5 = -(a_1 + a_2 + a_3 + a_4)$$

$$\langle \Sigma \rangle \rightarrow U \langle \Sigma \rangle U^\dagger =$$

$$= (1 + i \theta_a T_a) \langle \Sigma \rangle (1 - i \theta_a T_a)$$

$$= \langle \Sigma \rangle + i \theta_a \underbrace{[T_a, \langle \Sigma \rangle]}_{+ \dots}$$

$$\overset{1}{T}_a \langle \Sigma \rangle = [T_a, \langle \Sigma \rangle]$$

but $\langle \Sigma \rangle = \text{diagonal}$

$$T_i \in C = \text{diagonal}$$

$$\Rightarrow \boxed{\overset{1}{T}_i \langle \Sigma \rangle = 0}$$



$\langle \Sigma \rangle \Rightarrow$ preserves the rank

adjoint

$\Sigma = \{5 \times 5\} \Rightarrow$ 24 real components

$$T_V \Sigma = 0$$

$$\Sigma = \Sigma^\dagger$$

S, B with Σ

$$\begin{aligned} U^\dagger U &= 1 \\ U U^\dagger &= 1 \end{aligned}$$

$$\Sigma \rightarrow U \Sigma U^\dagger \Rightarrow \Sigma^2 \rightarrow U \Sigma^2 U^\dagger$$

$$\Rightarrow T_V \Sigma = i\omega_1 = 0 \quad \left| \quad \Sigma^3 \rightarrow U \Sigma^3 U^\dagger \right.$$

$$\Rightarrow T_V \Sigma^2 = i\omega_1$$

$$\Sigma^4 \rightarrow U \Sigma^4 U^\dagger$$



$$V_{\Sigma} = -\frac{\mu^2}{2} \text{Tr} \Sigma^2 + \frac{a}{4} (\text{Tr} \Sigma^2)^2 + \frac{b}{4} \text{Tr} \Sigma^4 + \frac{m}{3} \text{Tr} \Sigma^3$$

⇓

• $m=0$ ($\Sigma \rightarrow -\Sigma$)

• $m \neq 0$ $\leftarrow$ Gath, Weinberg '82

$\text{Tr} \Sigma = 0 \quad \text{constraint}$

⇓ Lagrange multiplier

⇐

$$V = -\frac{\mu^2}{2} \text{Tr} \Sigma^2 + \frac{a}{4} (\text{Tr} \Sigma^2)^2 + \frac{b}{4} \text{Tr} \Sigma^4 + \alpha \text{Tr} \Sigma$$

$$\partial V / \partial \alpha = 0$$

$$\langle Z \rangle = \text{diag} (a_1, a_2, a_3, a_4, a_5)$$

$\Rightarrow$ minimize $V(Z)$ and
find global minimum

HARD!

• instead

$$\langle Z \rangle = ? \quad \therefore \text{SM symmetry}$$

||

$$SU(3) \times SU(2) \times U(1)$$

$$a_i = ?$$

$\Downarrow$ M_x

$T_V(\Sigma) = 0$

$\underbrace{|\langle \Sigma \rangle|}_{\text{unbroken } SU(3)_c} = \underbrace{v}_{\text{unbroken } SU(2)} \left(\underbrace{1, 1, 1}_{\text{unbroken } SU(3)_c} ; \underbrace{-3/2, -3/2}_{\text{unbroken } SU(2)} \right)$

$$T_V(\Sigma)^2 = v^2 \left(\frac{9}{2} + 3 \right) = \frac{15}{2} v^2$$

$$T_V(\Sigma)^4 = v^4 \left(\frac{81}{8} + 3 \right) = \frac{105}{8} v^4$$

$\Downarrow$

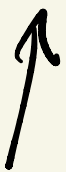
$$V = \underbrace{-\frac{\mu^2}{2} \frac{15}{2} v^2}_{\text{unbroken } SU(3)_c} + \frac{a}{4} \left(\frac{15}{2} v^2 \right)^2 + \frac{b}{2} \frac{105}{8} v^4$$

$\Downarrow \quad (15 \cdot 7 = 105)$

$$\frac{\partial V}{\partial v} = 0 = \left[-\frac{15}{2}\mu^2 + \frac{a}{4}\frac{15}{2}v^2\frac{15}{2} \cancel{4} + \frac{26}{4}\frac{105}{8}\cancel{4}v^2 \right] v$$

$$= +\frac{15}{2}v \left[-\mu^2 + a\frac{15}{2}v^2 + \frac{7}{4}6v^2 \right]$$

$$v = 0,$$



maximum!

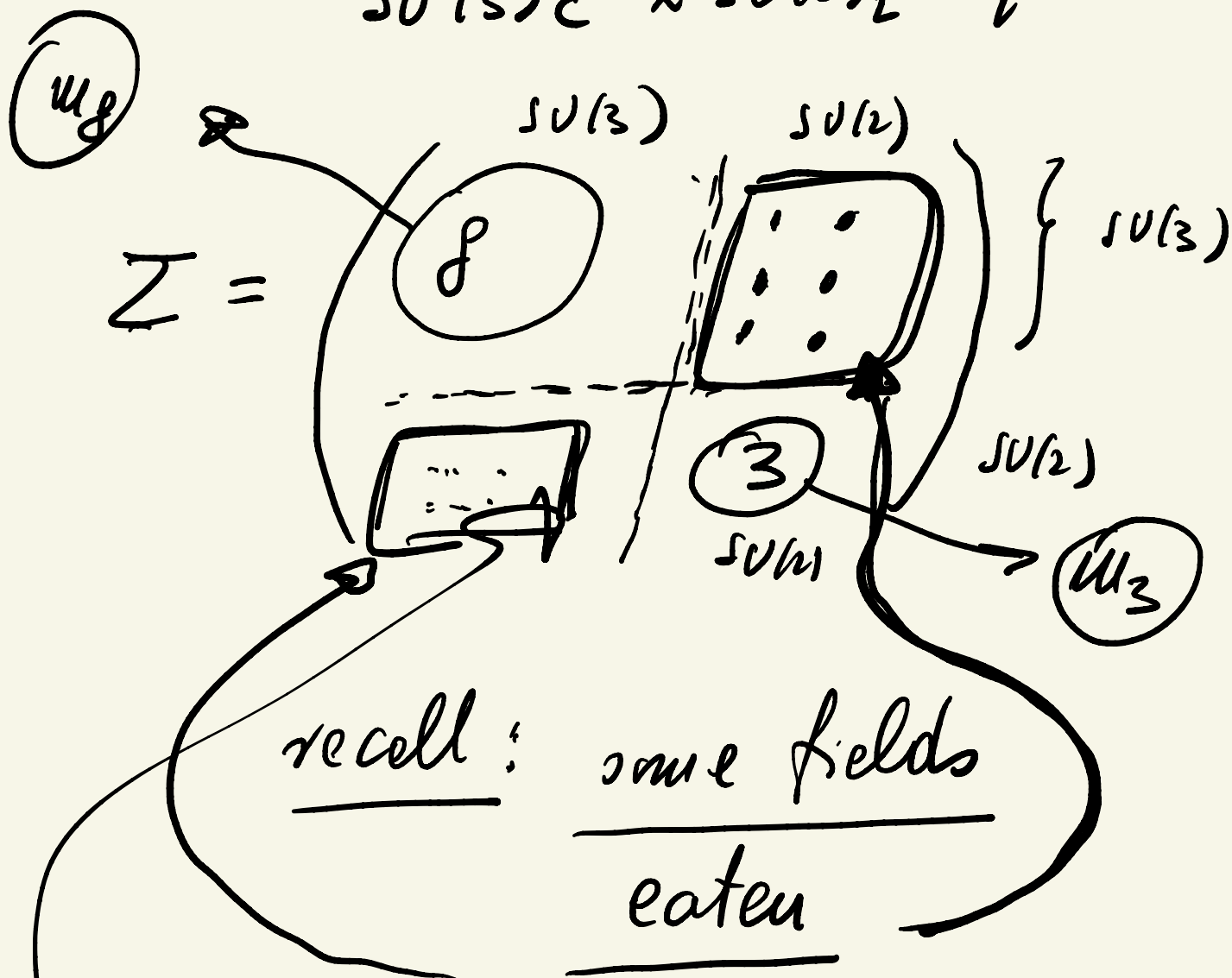
$$(15a + 76)v^2 = 2\mu^2$$

local minimum?

all eigenvalues of
2nd derivatives > 0

$Z = 24$ fields

$SU(3)_C \times SU(2)_L$ group



+ singlet $\leftarrow u_1$

$\Rightarrow [u_1, u_3, u_8] > 0$

$$D = \begin{pmatrix} u \\ d \end{pmatrix}$$

↑
doublet

$$m_u - m_d \lesssim M_W$$

$$m_e - m_\nu \simeq 10^{-5} M_W$$

$$m_c - m_s \simeq 10^{-2} M_W$$

$$m_t - m_b \simeq M_W$$

$$M_X - M_Y \lesssim M_W$$

$$\frac{m_e - m_\nu}{m_e + m_\nu} \simeq O(1)$$

$$\frac{M_X - M_Y}{M_X + M_Y} \leq \frac{M_W}{M_X}$$

$$\leq 10^{-13}$$