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## Problem Set 5: Driven harmonic oscillator and basic formulae in the Keldysh formalism

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**Exercise 1.** Driven harmonic oscillator

In the lecture, we derived the fundamental relation between expectation values of operators and fields,

$$\langle T_c[a^i(t)a^{\dagger j}(t')] \rangle = \langle \phi^i(t)\bar{\phi}^j(t') \rangle, \quad (1)$$

where  $T_c$  denotes contour time ordering and  $i, j \in \{\pm\}$  are contour indices.

- (a) Use Eq. (1) to establish the relation between operator and field representation of the retarded and Keldysh Green's functions:

$$G^R(t, t') = -i\theta(t - t')\langle [a(t), a^\dagger(t')] \rangle = -i\langle \phi_c(t)\bar{\phi}_q(t') \rangle, \quad (2)$$

$$G^K(t, t') = -i\langle \{a(t), a^\dagger(t')\} \rangle = -i\langle \phi_c(t)\bar{\phi}_c(t') \rangle. \quad (3)$$

What is the corresponding relation for  $G^A(t, t')$ ?

Consider now a many-body system of a single bosonic state:

$$H_0 = \omega_0 a^\dagger a \quad \Rightarrow \quad S[\bar{\phi}, \phi] = \int_C dt \bar{\phi}[i\partial_t - \omega_0]\phi. \quad (4)$$

The connection from model (4) to the quantum harmonic oscillator can be made via the transformation

$$\phi = \frac{1}{\sqrt{2\omega_0}}(\omega_0 X + iP), \quad \bar{\phi} = \frac{1}{\sqrt{2\omega_0}}(\omega_0 X - iP), \quad (5)$$

where  $X$  and  $P$  are real fields.

- (b) Starting from the action (4), show that the corresponding action for the real  $X$  and  $P$  fields is given by (boundary terms are neglected in the continuous form)

$$S[X, P] = \int_C dt \left[ P\dot{X} - \frac{1}{2}P^2 - \frac{\omega_0^2}{2}X^2 \right], \quad (6)$$

which is the Hamiltonian representation of the path integral for the harmonic oscillator.

- (c) Integrate out the  $P$ -fields to obtain the Lagrangian representation. Show that after transforming to Keldysh space via

$$X^c = \frac{X^+ + X^-}{\sqrt{2}}, \quad X^q = \frac{X^+ - X^-}{\sqrt{2}}, \quad (7)$$

one obtains the action

$$S[X^c, X^q] = \int_{-\infty}^{\infty} dt \left[ -X^q \ddot{X}^c - \omega_0^2 X^c X^q \right]. \quad (8)$$

- (d) Integrate out  $X^q$  and show that, for the harmonic oscillator,  $X^c$  obeys classical mechanics:  $\ddot{X}^c = -\omega_0^2 X^c$ .

*Remark: For general systems, the validity of this relation is controlled by the strength of the fluctuations in  $X^q$ . This is the origin of the terms “classical” and “quantum” component for  $X^c$  and  $X^q$ , respectively.*

- (e) Let's now add a term  $-\frac{\omega_2^2}{2}(X - y(t))^2$  to the action (6). Here  $y(t)$  is a given external function of time (vanishing at  $\pm\infty$ ). How can such a term arise physically? Show that the corresponding action for the  $\phi, \bar{\phi}$  fields is given by

$$S[\bar{\phi}, \phi] = \int_C dt \left[ \bar{\phi} (i\partial_t - \omega_2) \phi + \frac{V(t)}{\sqrt{2}} (\bar{\phi} + \phi) \right], \quad (9)$$

where  $\omega_2 = \sqrt{\omega_0^2 + \omega_1^2}$ , and determine the function  $V(t)$ .

- (f) Assume now that  $V(t)$  has different contributions on the forward and backward branch. Transform Eq. (9) into Keldysh space (convention:  $V^{c/q} = (V^+ \pm V^-)/2$ ) and compute  $Z[V^c, V^q] = \int \mathcal{D}[\bar{\phi}, \phi] e^{-(S+S_V)}$ . Check that  $Z[V^c, 0] = 1$ .
- (g) Compute the expectation value  $\langle X(t) \rangle$  and show (using the explicit form of the bare Green's functions) that it is essentially given by the real part of the Fourier transform of  $V_c(t)$ .

*Hint: Use the generating functional via  $\delta Z[V_c, V_q]/\delta V_q(t)$ .*

## Exercise 2. Basic formulae from the lecture

In this exercise, we prove three basic formulae used in the lecture.

- (a) The discrete correlation functions of a single bosonic mode with energy  $\omega_0$  at inverse temperature  $\beta$  are given by

$$\begin{aligned} \langle \phi_j^+ \bar{\phi}_{j'}^- \rangle &\equiv iG_{jj'}^< = \frac{\rho h_+^{j'-1} h_-^{j-1}}{\det[-i\hat{G}^{-1}]}, \\ \langle \phi_j^- \bar{\phi}_{j'}^+ \rangle &\equiv iG_{jj'}^> = \frac{h_+^{N-j} h_-^{N-j'}}{\det[-i\hat{G}^{-1}]} = \frac{(h_+ h_-)^{N-1} h_+^{1-j} h_-^{1-j'}}{\det[-i\hat{G}^{-1}]}, \\ \langle \phi_j^+ \bar{\phi}_{j'}^+ \rangle &\equiv iG_{jj'}^{\text{T}} = \frac{h_-^{j-j'}}{\det[-i\hat{G}^{-1}]} \times \begin{cases} 1, & j \geq j' \\ \rho (h_+ h_-)^{N-1}, & j < j' \end{cases}, \\ \langle \phi_j^- \bar{\phi}_{j'}^- \rangle &\equiv iG_{jj'}^{\bar{\text{T}}} = \frac{h_+^{j'-j}}{\det[-i\hat{G}^{-1}]} \times \begin{cases} \rho (h_+ h_-)^{N-1}, & j > j' \\ 1, & j \leq j' \end{cases}, \end{aligned}$$

with

$$\rho = e^{-\beta\omega_0}, \quad h_{\pm} = 1 \pm i\omega_0\delta t, \quad \det[-i\hat{G}^{-1}] = 1 - \rho(h_-h_+)^{N-1}.$$

Show that, in the continuum limit  $N \rightarrow \infty$ ,  $\delta t \sim 1/N$ , the correlation functions are given by

$$\begin{aligned} \langle \phi^+(t)\bar{\phi}^-(t') \rangle &= iG^<(t, t') = n_{\text{B}}e^{-i\omega_0(t-t')}, \\ \langle \phi^-(t)\bar{\phi}^+(t') \rangle &= iG^>(t, t') = (n_{\text{B}} + 1)e^{-i\omega_0(t-t')}, \\ \langle \phi^+(t)\bar{\phi}^+(t') \rangle &= iG^{\text{T}}(t, t') = \Theta(t-t')iG^>(t, t') + \Theta(t'-t)iG^<(t, t'), \\ \langle \phi^-(t)\bar{\phi}^-(t') \rangle &= iG^{\bar{\text{T}}}(t, t') = \Theta(t'-t)iG^>(t, t') + \Theta(t-t')iG^<(t, t'), \end{aligned}$$

where  $n_{\text{B}} = \frac{\rho}{1-\rho}$ .

(b) Using the discrete correlation functions, show that

$$G^{\text{T}}(t, t') + G^{\bar{\text{T}}}(t, t') - G^>(t, t') - G^<(t, t') = \begin{cases} 0, & t \neq t' \\ 1, & t = t' \end{cases}.$$

(c) The partition function for noninteracting fermions and the polarization matrix are given by ( $\alpha, \beta \in \{c, q\}$ )

$$\begin{aligned} Z[V] &= e^{\text{Tr} \ln[\hat{1} - \hat{G}V^{\alpha}\hat{\gamma}^{\alpha}]}, \quad \hat{\gamma}^c = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \hat{\gamma}^q = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \\ \hat{\Pi}^{\alpha\beta}(x, x') &\equiv -\frac{i}{2} \frac{\delta^2 \ln Z[\hat{V}]}{\delta V^{\beta}(x')\delta V^{\alpha}(x)} \Big|_{\hat{V}=0}, \quad x = (\mathbf{r}, t). \end{aligned}$$

Use these equations to show that

$$\hat{\Pi}^{\alpha\beta}(x, x') = \frac{i}{2} \text{Tr} \left\{ \hat{\gamma}^{\alpha} \hat{G}(x, x') \hat{\gamma}^{\beta} \hat{G}(x', x) \right\}.$$