

Problem set : Operator identities useful for Bosonization

H1

1. Baker-Hausdorff: Define  $[A, B]_{n+1} = [[A, B]_n, B]$ , and  $[A, B]_0 = A$ .

$$\text{Show } e^{-B} A e^B = \sum_{n=0}^{\infty} \frac{1}{n!} [A, B]_n \quad (1)$$

( $s \in \mathbb{R}$ )

Hint: Expand the function  $A(s) = e^{-sB} A e^{sB}$  as a Taylor series in  $s$ , and evaluate this series at  $s=1$ .

2. Suppose  $C = [A, B]$  satisfies  $[A, C] = [B, C] = 0$ . Show that

$$z(i) \quad e^{-B} A e^B = A + C$$

$$z(iii) \quad e^A e^B = e^{A+B} e^{C/2}$$

$$z(ii) \quad e^{-B} f(A) e^B = f(A+C)$$

$$z(iv) \quad e^A e^B = e^B e^A e^C$$

Hints: (i) Baker-Hausdorff

H2

(ii) Taylor-expand  $f(A)$ , find  $n$ -th term by induction, starting from  $z(i)$ .

(iii) Define  $T(s) = e^{sA} e^{sB}$  ( $s \in \mathbb{R}$ ),

calculate  $\frac{dT(s)}{ds} = ?$ , and show that the solution

of this diff. equation is  $T(s) = e^{s(A+B)} e^{s^2 C/2}$

3. Suppose  $[A, B] = DB$  and  $[A, D] = [B, D] = 0$

3(i) Show that  $f(A) B = B f(A+D)$ .

Hint: Taylor-expand, induction!

Use 3(i) to show that

H3

$$3.(ii) \quad e^A B = B e^{A+D}$$

$$3.(iii) \quad e^A B^n = (B e^D)^n e^A \quad \text{Hint: 3(ii), induction!}$$

$$3.(iv) \quad e^A e^B = e^{(B e^D)} e^A \quad \text{Hint: expand } e^B, \text{ use 3(iii).}$$

4. Prove the identities:

$$(i) \quad \psi_\eta(x) f(\{b_{q\eta}^+\}) = f(\{b_{q\eta}^+ - \delta_{q\eta} \alpha_q^*(x)\}) \psi_\eta(x)$$

Hint: use 3(i), with  $A = b_{q\eta}^+ - \delta_{q\eta} \alpha_q^*(x)$ ,  $B = \psi_\eta(x)$

$$(ii) \quad f(\{b_{q\eta}^+ - \delta_{q\eta} \alpha_q^*(x)\}) = e^{-i\varphi_\eta(x)} f(\{b_{q\eta}^+\}) e^{i\varphi_\eta(x)}$$

Hint: use 2(iii), with  $A = b_{q\eta}^+$ ,  $B = i\varphi_\eta(x)$ .

5. Check that the bosonization identity,

H4

$$\psi_\eta(x) = F \hat{\lambda}_\eta(x) e^{-i\varphi_\eta^+(x)} e^{-i\varphi_\eta(x)},$$

reproduces the anti-comm. relations  $\{\psi_\eta(x), \psi_\eta(x')\} = 0$

$$\{\psi_\eta(x), \psi_\eta^\dagger(x')\} = \delta_{\eta\eta'} 2\pi \delta(x-x')$$

6. Operator Product Expansion

Show that  $\psi_\eta^\dagger(z+a) \psi_\eta(z) \xrightarrow{a \rightarrow 0} \frac{1}{a} + i \partial_z \phi_\eta(z) + O(\frac{1}{z})$

where  $z = \tau + ix$ . Hint: first normal order, then take  $a \rightarrow 0$ .

6(i) use fermionic representation of  $\psi$

6(ii) " bosonic " " " .

Lecture III - Odds and Ends

Original fermion field  
(with finite bandwidth for k,  
i.e. for energy of particles or holes)

$$\psi_\eta(x) = \Delta_L^{1/2} \sum_k e^{-ik|a|} e^{-ikx} c_{k\eta} \quad (1)$$

Boson field  
(with finite bandwidth for q,  
i.e. for energy of particle-excitations)

$$\varphi_\eta(x) = - \sum_{q>0} e^{-qa/2} \frac{i}{\sqrt{n_q}} e^{-iqx} b_{q\eta} \quad (2)$$

Define new fermion field  
(with finite bandwidth for q, via phi):

$$\psi_\eta^{(a)}(x) := F_\eta \hat{\lambda}_\eta(x) e^{-i\varphi_\eta^\dagger(x)} e^{-i\varphi_\eta(x)} \quad (3)$$

$L \Delta_L^{1/2} e^{-i(N_\eta - 1/2)x} \xrightarrow{\text{for } L \rightarrow \infty} 1$

Comments:

- Eq. (3) does not require a cutoff (we may set a = 0), because exponentials on RHS are normal ordered:

$$\langle \vec{N} | \underbrace{e^{-i\varphi_\eta^\dagger(x)}}_{=1} \underbrace{e^{-i\varphi_\eta(x)}}_{=1} | \vec{N} \rangle = 1$$

- For  $a=0$  we have an operator identity between new and old fields:  $\psi_\eta^{(0)}(x) = \psi_\eta(x)$
- For  $a \neq 0$ , the new field  $\psi_\eta^{(a)}(x)$  is not identically equal to old field  $\psi_\eta(x)$ . Their long-distance behavior is the same (this is what we are interested in), but short-distance behavior on scale of a is different (we don't care about it anyway).
- Advantage of  $a \neq 0$ : two exponential factors can be combined (unnormal-ordered).

1. Unnormal-ordering the bosonic exponentials

$$\psi_\eta^{(a)}(x) \stackrel{(1.3)}{:=} F_\eta \hat{\lambda}_\eta(x) e^{-i\varphi_\eta^\dagger(x)} e^{-i\varphi_\eta(x)} \quad (1)$$

$$\stackrel{\text{(II.10.2iii)}}{=} F_\eta \Delta_L^{1/2} e^{-i\Delta_L(N_\eta - 1/2)x} \underbrace{e^{-i(\varphi_\eta^\dagger + \varphi_\eta)}}_{\phi_\eta(x)} e^{-\frac{1}{2}[\varphi_\eta^\dagger(x), \varphi_\eta(x)]} \stackrel{\text{(I.17.5)}}{=} F_\eta \Delta_L^{1/2} e^{-i\Delta_L(N_\eta - 1/2)x} \ln(\Delta_L a) \quad (2)$$

(divergent prefactor arises because of unnormal-ordering)

Various common notations:

$$\psi_\eta^{(a)}(x) = a^{-1/2} F_\eta(x) e^{-i\phi_\eta(x)}, \quad F_\eta(x) := F_\eta e^{-i\Delta_L(N_\eta - 1/2)x} \quad (3)$$

$$\psi_\eta^{(a)}(x) = a^{-1/2} F_\eta e^{-i\Phi_\eta(x)}, \quad \Phi_\eta(x) := \phi_\eta(x) + \Delta_L(N_\eta - 1/2)x \quad (4)$$

$$\psi_\eta^{(a)}(x) = a^{-1/2} e^{-i\tilde{\Phi}_\eta(x)}, \quad \tilde{\Phi}_\eta(x) := \Phi_\eta(x) - \Theta_\eta, \quad F_\eta := e^{-i\Theta_\eta} \quad (5)$$

"zero mode" ↗

2. Popular (but "dangerous") notation (I do not recommend using it, but you should know about it)

Introduce "phase operators" conjugate to N:

$$F_\eta^\dagger = e^{i\theta_\eta}, \quad F_\eta = e^{-i\theta_\eta}$$

(theta's are sometime called

$$[N_\eta, i\theta_\eta] \stackrel{!}{=} \delta_{\eta\eta'} \quad [\hat{N}_\eta, e^{\pm i\theta_\eta}] = \pm \delta_{\eta\eta'} e^{\pm i\theta_\eta} \quad (2)$$

$$[\theta_\eta, \theta_{\eta'}] := \begin{cases} i\pi \\ 0 \\ -i\pi \end{cases} \text{ if } \eta \begin{cases} > \\ = \\ < \end{cases} \eta' \quad (3)$$

(1), (2), (3) reproduce (II.7.4) to (II.7.7), using 
$$\begin{bmatrix} A & e^B \\ e^A & e^B \end{bmatrix} = \begin{bmatrix} c & e^B \\ e^A & c \end{bmatrix} \text{ if } c = [A, B] = c\text{-number} \quad (4)$$

But, (2) is sloppy notation that produces a contradiction:

$$0 = (N_\eta - N_{\eta'}) \langle \hat{N}_\eta | \theta | \hat{N}_{\eta'} \rangle = \langle N_\eta | \hat{N}_\eta i\theta_\eta - i\theta_{\eta'} \hat{N}_{\eta'} | N_{\eta'} \rangle \stackrel{(4)}{=} \langle \hat{N}_\eta | 1 | \hat{N}_{\eta'} \rangle = 1 \quad (5)$$

$\langle N_\eta | N_{\eta'} \rangle \neq 1$        $= N_\eta | N_{\eta'} \rangle$

What went wrong?

**Theorem:** If X and Y are conjugate operators, meaning  $[X, iY] = 1$ , and the spectrum of X is the set of discrete integers, then X is hermitian only in the space of states produced by acting on a reference state by periodic functions of Y, in other words, functions of  $\exp(iY)$ .

But: (11) contains states not periodic in theta, namely  $\hat{\theta} | \hat{N}_\eta \rangle$  so N is not hermitian!

3. Commutators of bosonized expressions (use (1.3) with  $a=0$ )

$$\{\psi_\eta(x), \psi_{\eta'}^\dagger(x')\} \sim \{F_\eta, F_{\eta'}^\dagger\} = 0 \quad \text{if } \eta \neq \eta' \quad (1)$$

(suppress eta-index henceforth)

$$\begin{aligned} \eta = \eta' & \Rightarrow \left( \Delta_L^{1/2} F e^{-i\Delta_L(N-\frac{1}{2})x} e^{-i\varphi^\dagger(x)} e^{-i\varphi(x)} e^{i\varphi^\dagger(x')} e^{i\varphi(x')} e^{i\Delta_L(N-\frac{1}{2})x'} F^\dagger \Delta_L^{1/2} \right. \\ & \left. + \Delta_L^{1/2} e^{i\varphi^\dagger(x)} e^{i\varphi(x)} e^{i\Delta_L(N-\frac{1}{2})x} F^\dagger F e^{-i\Delta_L(N-\frac{1}{2})x} e^{-i\varphi^\dagger(x)} e^{-i\varphi(x)} \Delta_L^{1/2} \right) \end{aligned} \quad (2)$$

$$= \left[ \Delta_L e^{-i\Delta_L(N-\frac{1}{2})(x-x')} \cdot e^{-i(\varphi^\dagger(x) - \varphi^\dagger(x'))} e^{-i(\varphi(x) - \varphi(x'))} \right] A(x, x') \quad (3)$$

if  $x-x' = \bar{n}L$ :  $= 1 \cdot [e^{-i\frac{2\pi}{L}\frac{1}{2}\bar{n}L}] = (-1)^{\bar{n}}$        $= 1$ , since  $\varphi(x) = \varphi(x+L)$

$$A(x, x') = \left( e^{i\varphi(x), \varphi^\dagger(x')} e^{-i\Delta_L(x-x')} + e^{i\varphi(x'), \varphi^\dagger(x)} \right) \quad (4)$$

$$= \left( \frac{y}{1-y} + \frac{1}{1-y^{-1}} \right) = \sum_{n=0}^{\infty} (y^{n+1} + y^{-n}) = \sum_{n \in \mathbb{Z}} y^n = L \sum_{\bar{n}} \delta(x-x'-\bar{n}L) \quad (5)$$

$$e^A e^B \stackrel{(2.10.2ii)}{=} e^B e^A [A, B]$$

$$[\varphi(x), \varphi^\dagger(x')] = -\ln(1-y)$$

$$y = e^{-i\Delta_L(x-x')}$$

$$\{\psi_\eta(x), \psi_{\eta'}^\dagger(x')\} \stackrel{(3)}{=} 2\pi \sum_{\bar{n}} \delta(x-x'-\bar{n}L) (-1)^{\bar{n}} \quad \checkmark \quad \text{antiperiodic} \quad \odot$$

#### 4. Bosonizing linearized kinetic Hamiltonian

(suppress index  $\eta$  below)

$$v_F = 1$$

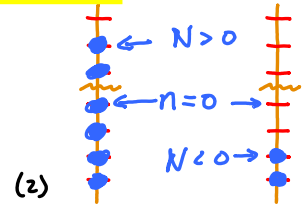
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Linearized fermionic kinetic Hamiltonian:

$$H_0 = \sum_{k=-\infty}^{\infty} k c_k^\dagger c_k, \quad k = \Delta_L(n - 1/2) \quad (1)$$

Energy of N-particle ground state  $|N\rangle_0$ :

$$E_0^N = \langle 0 | H | N \rangle_0 = \Delta_L \begin{cases} \sum_{n=1}^N (n - 1/2) & \text{for } N \geq 0 \\ \sum_{n=N+1}^0 -(n - 1/2) & \text{for } N < 0 \end{cases} = \frac{\Delta_L}{2} N^2 \quad (2)$$



Consider:

$$[H_0, b_q^\dagger] = \sum_{kk'} k \left[ c_k^\dagger c_k, c_{k+q}^\dagger c_{k'} \right] \frac{i}{\sqrt{n_q}} = \sum_k k (c_k^\dagger c_{k-q} - c_{k+q}^\dagger c_k) \frac{i}{\sqrt{n_q}} = q b_q^\dagger \quad (3)$$

Thus, boson creation op. are energy ladder op:

$$H_0 b_q^\dagger |N\rangle_0 = (E_0^N + q) b_q^\dagger |N\rangle_0 \quad (4)$$

The only bosonic operator that also satisfies (2) and (3) for all  $q$  is:

$$H_0 := \sum_{q>0} q b_q^\dagger b_q + \frac{\Delta_L}{2} \hat{N}^2 \quad (5)$$

$$[H_0, b_q^\dagger] = q b_q^\dagger$$

(seemingly quartic in  $c^\dagger c c^\dagger c$  !!)

hence: (1) = (5)

#### 5. Imaginary-time-ordered boson correlator at $T = 0$

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Imaginary-time evolution:

$$\phi(\tau, x) \stackrel{(I.I. \cdot \eta)}{=} - \sum_{q>0} \frac{e^{-aq/2}}{\sqrt{n_q}} \left( e^{-q(ix+\tau)} b_q + e^{q(ix+\tau)} b_q^\dagger \right) = \phi(\tau) \quad (1)$$

$$\langle 0 | \mathcal{T} \phi(z) \phi(0) | 0 \rangle_0$$

$\langle \phi(-z) \phi(0) \rangle$  by time translational invariance

$$= \Theta(\tau) \langle \phi(z) \phi(0) \rangle + \Theta(-\tau) \langle \phi(0) \phi(z) \rangle \quad (2)$$

$$\sigma = \text{sign}(\tau)$$

$$= \langle \phi(\sigma z) \phi(0) \rangle, \quad \text{in } \langle (b_q + b_q^\dagger)(b_q + b_q^\dagger) \rangle$$

only  $\delta_{q,0} b_q b_q^\dagger$  contributes

$$= \sum_{q>0} \frac{e^{-aq}}{n} e^{-qz\sigma} \langle b_q b_q^\dagger \rangle \quad \text{with } q = \Delta_L \eta, \quad y = e^{-\Delta_L(z\sigma+a)} \quad (4)$$

$$= \sum_{n=1}^{\infty} \frac{1}{n} y^n = -\ln(1-y) = -\ln(1 - e^{-\Delta_L(z\sigma+a)}) \xrightarrow{L \rightarrow \infty} -\ln(\Delta_L(\sigma z + a)) \quad (5)$$

Time evolution of Klein factor:

$$F_\eta(\tau, x) = e^{H\tau} F_\eta e^{-i\Delta_L(N_\eta - 1/2)x} e^{-H\tau} = F_\eta e^{+i\Delta_L(N_\eta - 1/2)z} \quad (6)$$

6. Using bosonization to calculate fermion correlators

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Theorem: for free boson Hamiltonian  $\hat{H} = \sum_q \omega_q b_q^\dagger b_q$  and  $\hat{B} = \sum_q \lambda_q b_q + \tilde{\lambda}_q b_q^\dagger$

ground state or thermal expectation values of exponentials of bosons satisfy:

$$\langle e^{\hat{B}} \rangle = e^{1/2 \langle \hat{B}^2 \rangle}, \quad \text{where } \langle \hat{O} \rangle = \text{Tr}(e^{-\beta \hat{H}} \hat{O}) / \text{Tr} e^{-\beta \hat{H}} \quad (1)$$

$$(1) \text{ and (II.10.2iii) imply: } \langle e^{\hat{B}_1} e^{\hat{B}_2} \rangle = e^{\langle \hat{B}_1 \hat{B}_2 + \frac{1}{2} \hat{B}_1^2 + \frac{1}{2} \hat{B}_2^2 \rangle} \quad (2)$$

Bosonize fermion correlator:

$$\langle 0 | T \psi(z) \psi^\dagger(0) | 0 \rangle = \frac{1}{a} \langle 0 | T F F^\dagger e^{-\Delta_L(N-1/2)z} e^{-i\phi(z)} e^{i\phi(0)} F^\dagger | 0 \rangle \quad (3)$$

$$\stackrel{(2)}{=} \frac{\sigma}{a} e^{-\Delta_L z/2} \langle 0 | T \phi(z) \phi(0) - \frac{1}{2} \phi(z) \phi(z) - \frac{1}{2} \phi(0) \phi(0) | 0 \rangle \quad (4)$$

same

$$\xrightarrow{L \rightarrow \infty} \frac{\sigma}{a} e^{-\left( \ln \Delta_L(\sigma z + a) - z \frac{1}{2} \ln \Delta_L a \right)} \quad \langle T \phi(z) \phi(0) \rangle \quad (6.5) = -\ln \Delta_L(\sigma z + a)$$

$$= \frac{\sigma}{a} \frac{a}{\sigma z + a} = \frac{1}{z + \sigma a} = \text{(I.11.5)} \quad [\text{if } L \text{ is kept finite, one recovers (I.11.6)}]$$

7. Vertex operators: general exponentials of boson fields

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Definition of "vertex operator":

$$V_\lambda^{(\eta)}(z) := \Delta_L^{\lambda/2} \underbrace{x \times e^{i\lambda \phi_2(z)} \times x}_{= e^{i\lambda \phi_2^\dagger(z)} e^{i\lambda \phi_2(z)}} \stackrel{\text{see (2.2)}}{=} a^{-\lambda/2} e^{i\lambda \phi_2(z)} \quad (1)$$

unnorm-order, producing a factor  $e^{-\lambda^2 [\phi^\dagger(z), \phi(z)]} = e^{-\lambda^2 \ln \Delta_L a}$

with charge  $\lambda \in \mathbb{R}$

Ground state expectation value:

$$\langle \bar{0} | V_\lambda^{(\eta)}(z) | \bar{0} \rangle = \Delta_L^{\lambda/2} \xrightarrow[\Delta_L \rightarrow 0]{L \rightarrow \infty} \delta_{\lambda 0} \quad (2)$$

Two-point correlator:

$$\langle \bar{0} | T V_\lambda^{(\eta)}(z) V_{\lambda'}^{(\eta')}(z') | \bar{0} \rangle = \delta_{\eta \eta'} a^{-\frac{(\lambda^2 + \lambda'^2)/2}{2}} e^{-\lambda \lambda' \langle T \phi(z) \phi(0) \rangle - \frac{1}{2}(\lambda^2 + \lambda'^2) \langle \phi(0) \phi(0) \rangle}$$

$$= \delta_{\eta \eta'} \frac{\Delta_L^{\frac{1}{2}(\lambda + \lambda')^2}}{(\sigma z + a)^{-\lambda \lambda'}} \xrightarrow[\Delta_L \rightarrow 0]{L \rightarrow \infty} \delta_{\eta \eta'} \frac{\delta_{\lambda, -\lambda'}}{(\sigma z + a)^{\lambda^2}} \quad (3)$$

Similarly for n-point correlator:

$$\langle \bar{0} | T V_{\lambda_1}^{(\eta_1)}(z_1) \dots V_{\lambda_n}^{(\eta_n)}(z_n) | \bar{0} \rangle = \Delta_L^{\frac{1}{2} \left( \sum_{j=1}^n \lambda_j \right)^2} \prod_{i < j} (z_{ij} \sigma_{ij} + a)^{\lambda_i \lambda_j} \quad (4)$$

"charge neutrality"  $\xrightarrow[\Delta_L \rightarrow 0]{L \rightarrow \infty} = 0 \text{ unless } \sum_{j=1}^n \lambda_j = 0$   $\left[ \begin{matrix} z_{ij} = z_i - z_j \\ \sigma_{ij} = \text{sign}(z_i - z_j) \end{matrix} \right]$

