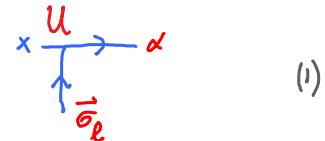


1. Graphical notation for basis change

It is useful to have a graphical depiction for basis changes.

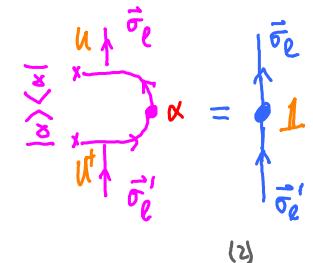
Consider a unitary transformation defined on chain of length ℓ , spanned by basis $\{|\vec{\sigma}_e\rangle\}$:

$$|\alpha\rangle = |\vec{\sigma}_e\rangle U^{\vec{\sigma}_e} \alpha$$



Unitarity guarantees resolution of identity on this subspace:

$$\sum_{\alpha} |\alpha\rangle \langle \alpha| = |\vec{\sigma}_e'\rangle \underbrace{U^{\vec{\sigma}_e'} \alpha}_{\mathbb{1}_{\vec{\sigma}_e'}} \langle \vec{\sigma}_e| = \sum_{\vec{\sigma}_e} |\vec{\sigma}_e'\rangle \mathbb{1}^{\vec{\sigma}_e'}_{\vec{\sigma}_e} \langle \vec{\sigma}_e| = \hat{\mathbb{1}}$$



Transformation of an operator defined on this subspace:

$$\hat{B} = |\vec{\sigma}_e'\rangle B^{\vec{\sigma}_e'}_{\vec{\sigma}_e} \langle \vec{\sigma}_e| = \sum_{\alpha' \alpha} |\alpha'\rangle \langle \alpha'| \hat{B} |\alpha\rangle \langle \alpha| = |\alpha'\rangle B^{\alpha'}_{\alpha} \langle \alpha| \quad (3)$$

$$\text{Matrix elements: } B^{\alpha'}_{\alpha} = \langle \alpha'| \vec{\sigma}_e' \rangle B^{\vec{\sigma}_e'}_{\vec{\sigma}_e} \langle \vec{\sigma}_e | \alpha \rangle = U^{\alpha'}_{\vec{\sigma}_e'} B^{\vec{\sigma}_e'}_{\vec{\sigma}_e} U^{\vec{\sigma}_e}_{\alpha} \quad (4)$$

$$B_{[\ell]} = \begin{array}{c} \text{Diagram showing a 2D grid of states } |\vec{\sigma}_e\rangle \text{ and } |\vec{\sigma}_e'\rangle. \\ \text{A yellow oval highlights a central region where the transformation } B_{[\ell]} \text{ acts.} \\ \text{The diagram shows the mapping from the original basis to the transformed basis.} \end{array}$$

$$B_{[\ell]} = \begin{array}{c} \text{Diagram showing a 1D chain of states } |\alpha\rangle \text{ and } |\alpha'\rangle. \\ \text{The transformation } B_{[\ell]} \text{ is shown as a block-diagonal matrix with blocks } B_{[\ell]} \text{ along the diagonal.} \\ \text{The diagram shows the mapping from the original basis to the transformed basis.} \end{array}$$

If the states $|\alpha\rangle$ are MPS:

$$|\alpha\rangle = |\vec{\sigma}_e\rangle \dots |\vec{\sigma}_{\min}\rangle (A^{\vec{\sigma}_{\min}} \dots A^{\vec{\sigma}_e})^{\dagger} \alpha$$

$$\begin{array}{c} \text{Diagram showing a 1D chain of states } |\alpha\rangle \text{ and } |\alpha'\rangle. \\ \text{The states are represented as a sequence of vertical lines with arrows.} \\ \text{The transformation } B_{[\ell]} \text{ is shown as a block-diagonal matrix with blocks } B_{[\ell]} \text{ along the diagonal.} \end{array} \quad (6)$$

$$\mathbb{1} = \begin{array}{c} \text{Diagram showing a 2D grid of states } |\vec{\sigma}_e\rangle \text{ and } |\vec{\sigma}_e'\rangle. \\ \text{A yellow oval highlights a central region where the unit matrix } \mathbb{1} \text{ acts.} \\ \text{The diagram shows the mapping from the original basis to the transformed basis.} \end{array} = \begin{array}{c} \text{Diagram showing a 1D chain of states } |\alpha\rangle \text{ and } |\alpha'\rangle. \\ \text{The states are represented as a sequence of vertical lines with arrows.} \\ \text{The transformation } \mathbb{1} \text{ is shown as a block-diagonal matrix with blocks } \mathbb{1} \text{ along the diagonal.} \end{array} = \begin{array}{c} \text{Diagram showing a 1D chain of states } |\alpha\rangle \text{ and } |\alpha'\rangle. \\ \text{The states are represented as a sequence of vertical lines with arrows.} \\ \text{The transformation } \mathbb{1} \text{ is shown as a block-diagonal matrix with blocks } \mathbb{1} \text{ along the diagonal.} \end{array} \quad \text{shorthand for unit matrix} \quad (7)$$

$$\hat{B} = \begin{array}{c} \text{Diagram showing a 2D grid of states } |\vec{\sigma}_e\rangle \text{ and } |\vec{\sigma}_e'\rangle. \\ \text{A yellow rectangle highlights a central region where the operator } B_{[\ell]} \text{ acts.} \\ \text{The diagram shows the mapping from the original basis to the transformed basis.} \end{array} = \begin{array}{c} \text{Diagram showing a 1D chain of states } |\alpha\rangle \text{ and } |\alpha'\rangle. \\ \text{The states are represented as a sequence of vertical lines with arrows.} \\ \text{The transformation } B_{[\ell]} \text{ is shown as a block-diagonal matrix with blocks } B_{[\ell]} \text{ along the diagonal.} \end{array} \quad \text{with} \quad (8)$$

$$\begin{array}{c} \text{Diagram showing a 1D chain of states } |\alpha\rangle \text{ and } |\alpha'\rangle. \\ \text{The states are represented as a sequence of vertical lines with arrows.} \\ \text{The transformation } B_{[\ell]} \text{ is shown as a block-diagonal matrix with blocks } B_{[\ell]} \text{ along the diagonal.} \end{array} \quad (8)$$

2. Iterative diagonalization

MPS-III.2



Consider spin- $\frac{1}{2}$ chain: $\hat{H}^N = \sum_{l=1}^N \hat{\vec{s}}_l \cdot \vec{h}_l + J \sum_{l=2}^N \hat{\vec{s}}_l \cdot \hat{\vec{s}}_{l-1}$ (1)

For later convenience, we write the spin-spin interaction in covariant (up/down index) notation. Define

$$\hat{S}_z \equiv \hat{S}^z = \hat{s}_z, \quad \hat{S}_{\pm} \equiv \frac{1}{2}(\hat{S}_x \pm i\hat{S}_y), \quad \hat{S}^{\pm} \equiv \frac{1}{2}(\hat{S}_x \mp i\hat{S}_y) \quad (= \hat{S}_{\pm}^{\dagger} = \hat{S}_{\mp}) \quad (2)$$

and the operator triplet $\hat{S}_a \in \{\hat{S}_+, \hat{S}_-, \hat{S}_z\}, \quad \hat{S}^a \in \{\hat{S}^+, \hat{S}^-, \hat{S}^z\}$ (3)
 $a \in \{+, -, z\}$

Then $\hat{\vec{s}}_l \cdot \hat{\vec{s}}_{l-1} = \hat{s}_l^x \hat{s}_{l-1}^x + \hat{s}_l^y \hat{s}_{l-1}^y + \hat{s}_l^z \hat{s}_{l-1}^z$
 $= \hat{S}_l^+ \hat{S}_{+l-1} + \hat{S}_l^- \hat{S}_{-l-1} + \hat{S}_l^z \hat{S}_{z,l-1} = \hat{S}_l^{+a} \hat{S}_{l-1,a}^a$ covariant index combination,
sum on a implied! (4)

In the basis $\{|e\rangle_N\} = \{|e_N\rangle \dots |e_2\rangle |e_1\rangle\}$, the Hamiltonian can be expressed as

$$\hat{H}^N = |\vec{e}\rangle H \vec{e}' \langle \vec{e}| \quad (5)$$

'no hat' means 'matrix representation'

$H \vec{e}'$ is a linear map acting on a direct product space: $V^{\otimes N} \equiv V_1 \otimes V_2 \otimes \dots \otimes V_N$

where V_l is the 2-dimensional representation space of site l .

\hat{H}^N is a sum of single-site and two-site terms.

On-site terms:

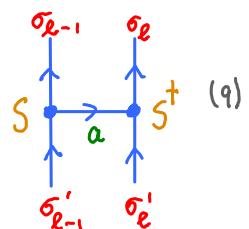
$$\hat{S}_{al} = |\sigma'_l\rangle \langle \sigma'_l| \quad (6)$$

Matrix representation in V_l : $(S_a)^{\sigma'_l}_{\sigma'_l} = \langle \sigma'_l | \hat{S}_{al} | \sigma'_l \rangle = \begin{pmatrix} (S_a)^{\uparrow}_{\uparrow} & (S_a)^{\uparrow}_{\downarrow} \\ (S_a)^{\downarrow}_{\uparrow} & (S_a)^{\downarrow}_{\downarrow} \end{pmatrix}$ (7)

$$S_+ = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad S_- = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad S_z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (8)$$

Nearest-neighbor interactions, acting on direct product space, $|e_l\rangle \otimes |e_{l-1}\rangle$:

$$\hat{S}_l^{+a} \otimes \hat{S}_{al-1} = |\sigma'_l\rangle |\sigma'_{l-1}\rangle \underbrace{(S_a)^{\sigma'_{l-1}}_{\sigma'_{l-1}}}_{\text{III}} \underbrace{(S_l^{+a})^{\sigma'_l}_{\sigma'_l}}_{\text{III}} \langle \sigma_{l-1} | \langle \sigma_l | \quad (9)$$



Matrix representation in $V_{l-1} \otimes V_l$: $S^{\sigma'_{l-1}}_{\sigma'_{l-1}} S^{\sigma'_l a}_{\sigma'_l}$

We define the 3-leg tensors S, S^+ with index placements matching those of A tensors for wavefunctions: incoming upstairs, outgoing downstairs (fly in, roll out), with α (by convention) as middle index.

Diagonalize site 1

Matrix acting on V_1 : $H_1 = \underbrace{S_{\alpha_1}^+}_{\text{chain of length 1}} \cdot \underbrace{\hat{h}_1^\alpha}_{\text{site index: } l=1} = U_1 D_1 U_1^+$ (10)

$D_1 = U_1^+ H_1 U_1$ is diagonal, with matrix elements

$$(D_1)^{\alpha'}_{\alpha'} = (U_1^+)^{\alpha'}_{\sigma'_1} (H_1)^{\sigma'_1}_{\sigma_1} (U_1)^{\sigma_1}_{\alpha} \quad (11)$$

Eigenvectors of the matrix H_1 are given by column vectors of the matrix $(U_1)^{\sigma_1}_{\alpha}$:

Eigenstates of operator \hat{H}_1 are given by: $|\alpha\rangle = |\sigma_1\rangle (U_1)^{\sigma_1}_{\alpha}$ (13)

Add site 2

Diagonalize H_2 in enlarged Hilbert space, $\mathcal{H}_{(2)} = \text{span}\{|\sigma_2\rangle|\sigma_1\rangle\}$ (14)

chain of length 2

Matrix acting on $V_1 \otimes V_2$: $H_2 = \underbrace{\vec{S}_1 \cdot \vec{h}_1}_{H_1^{\text{loc}}} \otimes \mathbb{1}_2 + \mathbb{1}_1 \otimes \underbrace{\vec{S}_2 \cdot \vec{h}_2}_{H_2^{\text{loc}}} + \underbrace{JS_{\alpha_1} \otimes S_{\alpha_2}^+}_{H_{12}^{\text{loc}}} \quad (15)$

Matrix representation in $V_1 \otimes V_2$ corresponding to 'local' basis, $\{|\sigma_2\rangle|\sigma_1\rangle\}$:

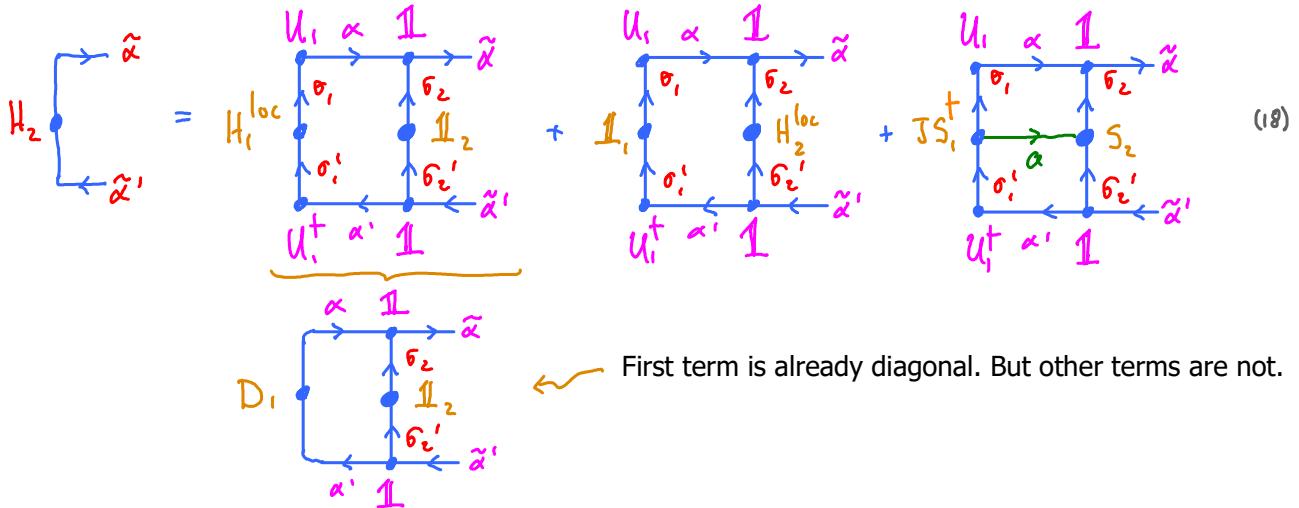
$$H_2^{\sigma'_1 \sigma'_2}_{\sigma_1 \sigma_2} = H_1^{\text{loc}} \begin{array}{c} \sigma_1 \\ \sigma'_1 \\ \sigma_2 \\ \sigma'_2 \end{array} + \mathbb{1}_1 \begin{array}{c} \sigma_1 \\ \sigma'_1 \\ \sigma_2 \\ \sigma'_2 \end{array} + JS_1 \begin{array}{c} \sigma_1 \\ \sigma'_1 \\ \sigma_2 \\ \sigma'_2 \end{array} \equiv \boxed{H_2} \begin{array}{c} \sigma_1 \\ \sigma'_1 \\ \sigma_2 \\ \sigma'_2 \end{array} \quad (16)$$

We seek matrix representation in $V_1 \otimes V_2$ corresponding to enlarged, 'site-1-diagonal' basis, defined as

$$|\tilde{\alpha}\rangle = |\alpha \sigma_2\rangle = |\sigma_2\rangle |\alpha\rangle = |\sigma_2\rangle |\sigma_1\rangle U_{\alpha}^{\sigma_1} \quad \alpha \rightarrow \begin{array}{c} \mathbb{1} \\ \downarrow \sigma_2 \\ \sigma_1 \end{array} = \frac{U_1 \alpha \mathbb{1}}{\downarrow \sigma_1 \downarrow \sigma_2} \quad (17)$$

$$\hat{H}_2 = |\tilde{\alpha}\rangle H_2^{\tilde{\alpha}\tilde{\alpha}} \langle \tilde{\alpha}|, \quad H_2^{\tilde{\alpha}\tilde{\alpha}} = \langle \tilde{\alpha}' | \mathbb{1}_2 | \tilde{\alpha} \rangle = \langle \tilde{\alpha}' | \sigma_1 \sigma_2 \rangle H^{\sigma_1 \sigma_2}_{\sigma_1 \sigma_2} \langle \sigma_1 \sigma_2 | \tilde{\alpha} \rangle$$

To this end, attach U_1^+, U_1 to in/out legs of site 1, and $\mathbb{1}, \mathbb{1}$ to in/out legs of site 2:



$$\text{Now diagonalize } H_2 \text{ in this enlarged basis: } H_2 = U_2 D_2 U_2^\dagger \quad (19)$$

$D_2 = U_2^\dagger H_2 U_2$ is diagonal, with matrix elements

$$D_2^{\beta'}_\beta = (U_2^\dagger)^{\beta'}_{\tilde{\alpha}'} (H_2)^{\tilde{\alpha}}_{\tilde{\alpha}} (U_2)^\tilde{\alpha}_\beta$$

$$D_2 \begin{array}{c} \rightarrow \beta \\ \leftarrow \beta' \end{array} = H_2 \begin{array}{c} \rightarrow \tilde{\alpha} \\ \leftarrow \tilde{\alpha}' \end{array} \quad (20)$$

Eigenvectors of matrix H_2 are given by column vectors of the matrix $(U_2)^{\tilde{\alpha}}_\beta = (U_2)^{\alpha \sigma_2}_\beta$:

Eigenstates of the operator \hat{H}_2 :

$$|\beta\rangle = |\tilde{\alpha}\rangle (U_2)^{\tilde{\alpha}}_\beta = |\tilde{\alpha}_2\rangle |\alpha\rangle (U_2)^{\alpha \sigma_2}_\beta = |\tilde{\alpha}_2\rangle |\tilde{\alpha}_1\rangle (U_1)^{\tilde{\alpha}_1}_\alpha (U_2)^{\alpha \sigma_2}_\beta \quad (21)$$

$$\rightarrow \beta = \alpha \begin{array}{c} \nearrow U_2 \\ \downarrow \sigma_2 \end{array} \beta = \begin{array}{c} \nearrow U_1 \\ \downarrow \sigma_1 \end{array} \begin{array}{c} \nearrow U_2 \\ \downarrow \sigma_2 \end{array} \beta \quad (22)$$

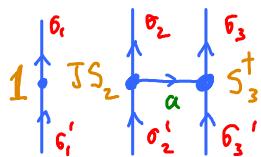
Add site 3

Transform each term involving new site into the 'enlarged, site-12-diagonal basis', defined as

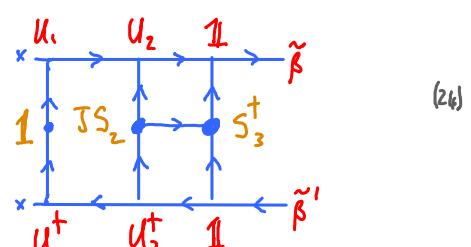
$$|\tilde{\beta}\rangle \equiv |\beta \sigma_3\rangle \equiv |\tilde{\alpha}_3\rangle |\beta\rangle \quad \beta \begin{array}{c} \rightarrow \frac{1}{\sigma_3} \\ \downarrow \sigma_3 \end{array} \tilde{\beta} = \begin{array}{c} \nearrow U_1 \\ \downarrow \sigma_1 \end{array} \begin{array}{c} \nearrow U_2 \\ \downarrow \sigma_2 \end{array} \begin{array}{c} \rightarrow 1 \\ \downarrow \sigma_3 \end{array} \tilde{\beta} \quad (23)$$

For example, spin-spin interaction, H_{32}^{int} :

Local basis:



enlarged,
site-12-diagonal
basis:



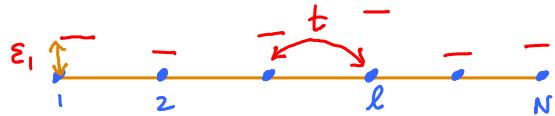
Then diagonalize in this basis: $H_3 = U_3 D_3 U_3^\dagger$, etc. (25)

At each iteration, Hilbert space grows by a factor of 2. Eventually, truncations will be needed...!

3. Spinless fermions

MPS-III.3

Consider tight-binding chain of spinless fermions:



$$\hat{H} = \sum_{\ell=1}^N \varepsilon_\ell \hat{c}_\ell^\dagger \hat{c}_\ell + \sum_{\ell=2}^N t_\ell (\hat{c}_\ell^\dagger \hat{c}_{\ell-1} + \hat{c}_{\ell-1}^\dagger \hat{c}_\ell) \quad (1)$$

Goal: find matrix representation for this Hamiltonian, acting in direct product space $V_1 \otimes V_2 \otimes \dots \otimes V_N$, while respecting fermionic minus signs:

$$\{\hat{c}_\ell, \hat{c}_{\ell'}\} = 0, \quad \{\hat{c}_\ell^\dagger, \hat{c}_{\ell'}^\dagger\} = 0, \quad \{\hat{c}_\ell^\dagger, \hat{c}_{\ell'}\} = \delta_{\ell\ell'} \quad (2)$$

First consider a single site (dropping the site index ℓ):

Hilbert space: $\text{span}\{|0\rangle, |1\rangle\}$, local index: $n = \sigma \in \{0, 1\}$ local occupancy

$$\text{Operator action: } \hat{c}^\dagger |0\rangle = |1\rangle, \quad \hat{c}^\dagger |1\rangle = 0 \quad (3a)$$

$$\hat{c} |0\rangle = 0, \quad \hat{c} |1\rangle = |0\rangle \quad (3b)$$

$$\text{The operators } \hat{c}^\dagger = |\sigma'\rangle c^{\sigma'} \langle \sigma| \quad \text{and} \quad \hat{c} = |\sigma'\rangle c^{\sigma'} \langle \sigma|$$

$$\text{have matrix representations in } V: \quad C^{\sigma'}_{\sigma} = \langle \sigma' | \hat{c}^\dagger | \sigma \rangle = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad \hat{c}^\dagger \underset{\sigma'}{\overset{\sigma}{\downarrow}} \quad (4a)$$

$$C^{\sigma'}_{\sigma} = \langle \sigma' | \hat{c} | \sigma \rangle = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad \hat{c} \underset{\sigma}{\overset{\sigma'}{\downarrow}}, \quad (4b)$$

Shorthand: we write $\hat{c}^\dagger \doteq C^\dagger, \quad \hat{c} \doteq C$ where \doteq means 'is represented by'
 lower case denotes operator in Fock space upper case denotes matrix in 2-dim space V

$$\text{Check: } \hat{c}^\dagger C + C C^\dagger = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \mathbb{1} \quad (5)$$

$$\hat{c}^\dagger C^\dagger = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad C C = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad (6)$$

For the number operator, $\hat{n} \equiv \hat{c}^\dagger \hat{c}$ the matrix representation in V reads:

$$n \equiv C^\dagger C = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2}(1 - Z) \quad (7)$$

$$\text{where } Z \equiv \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \text{is representation of } \hat{z} = 1 - 2\hat{n} = (-1)^{\hat{n}} \quad (8)$$

$$\text{Useful relations: } \hat{c} \hat{z} = -\hat{z} \hat{c}, \quad \hat{c}^\dagger \hat{z} = -\hat{z} \hat{c}^\dagger \quad (9)$$

'commuting \hat{c} or \hat{c}^+ past \hat{n} produces a sign'

[exercise: check this algebraically, using matrix representations!]

Intuitive reason: \hat{c} and \hat{c}^+ both change \hat{n} -eigenvalue by one, hence change sign of $(-1)^{\hat{n}}$.

For example:

$$\hat{c}^+ (-1)^{\hat{n}} = \hat{c}^+ = -(-1)^{\hat{n}} \hat{c}^+ \quad (10a)$$

non-zero only when acting on $|0\rangle = (-1)^0 = 1$

Similarly:

$$\hat{c} (-1)^{\hat{n}} = -\hat{c} = -(-1)^{\hat{n}} \hat{c} \quad (10b)$$

non-zero only when acting on $|1\rangle = (-1)^1 = -1$

Now consider a chain of spinless fermions:

Complication: fermionic operators on different sites anticommute: $c_\ell c_{\ell'}^\dagger = -c_{\ell'}^\dagger c_\ell$ for $\ell \neq \ell'$

Hilbert space: $\text{span}\{|0\rangle_N = |n_1, n_2, \dots, n_N\rangle\}$ (ii)

Define canonical ordering for fully filled state:

$$|n_1=1, n_2=1, \dots, n_N=1\rangle = c_N^\dagger \dots c_1^\dagger |V_{\text{vac}}\rangle \quad (12)$$

Now consider:

$$\hat{c}_1^\dagger |n_1=0, n_2=1\rangle = \hat{c}_1^\dagger \hat{c}_2^\dagger |V_{\text{vac}}\rangle = -\hat{c}_2^\dagger \hat{c}_1^\dagger |V_{\text{vac}}\rangle = -|n_1=1, n_2=1\rangle \quad (13)$$

To keep track of such signs, matrix representations in $V_1 \otimes V_2$ need extra 'sign counters', tracking fermion numbers:

$$\hat{c}_1^\dagger \doteq c_1^\dagger \otimes (-1)^{n_2} = c_1^\dagger \otimes z_2 \quad (14)$$

$$\hat{c}_2^\dagger \doteq 1 \otimes c_2^\dagger = c_2^\dagger \quad \begin{matrix} \text{subscripts denote site numbers} \\ \text{(shorthand: omit unity)} \end{matrix} \quad (15)$$

Here \otimes denotes a direct product operation; the order (space 1, space 2, ...) matches that of the indices on the corresponding tensors: $A^{i_1 i_2 \dots}$

Check whether $\hat{c}_1^\dagger \hat{c}_2^\dagger = -\hat{c}_2^\dagger \hat{c}_1^\dagger$? (16)

$$\begin{matrix} +z \\ -z \end{matrix} = \begin{matrix} 1, \\ c_1^\dagger \end{matrix} \begin{matrix} +z \\ -z \end{matrix} = \begin{matrix} +z \\ -z \end{matrix} \begin{matrix} 1, \\ c_2^\dagger \end{matrix} = \begin{matrix} +z \\ -z \end{matrix} \quad \checkmark \quad (17)$$

Algebraically:

$$+z \quad . \quad 1 \quad . \quad . \quad . \quad (14) \quad + \quad . \quad , \quad 1 \quad (9) \quad . \quad . \quad +$$

Algebraically:

$$\begin{aligned}\hat{c}_1^\dagger \hat{c}_2 &= (\hat{c}_1^\dagger \otimes \hat{z}_2) (\mathbb{1}_1 \otimes \hat{c}_2) \stackrel{(14)}{=} \hat{c}_1^\dagger \mathbb{1}_1 \otimes (\hat{z}_2 \hat{c}_2) \stackrel{(9)}{=} -\mathbb{1}_1 \hat{c}_1^\dagger \otimes \hat{c}_2 \hat{z}_2 \\ &= -(\mathbb{1}_1 \otimes \hat{c}_2) (\hat{c}_1^\dagger \otimes \hat{z}_2) = -\hat{c}_2 \hat{c}_1^\dagger \quad \checkmark\end{aligned}\quad (18)$$

Similarly:

$$\hat{n}_1 = \hat{c}_1^\dagger \hat{c}_1 = \begin{array}{c} \hat{c}_1^\dagger \\ \mathbb{1}_1 \\ \hat{c}_1^\dagger \end{array} \begin{array}{c} \hat{z}_2 \\ \mathbb{1}_2 \\ \hat{z}_2 \end{array} = \begin{array}{c} \hat{c}_1^\dagger \\ \mathbb{1}_1 \\ \hat{c}_1^\dagger \end{array} \begin{array}{c} \mathbb{1}_2 \\ \hat{c}_1^\dagger \\ \mathbb{1}_2 \end{array} = \hat{c}_1^\dagger \hat{c}_1 \otimes \mathbb{1}_2 \quad (20)$$

More generally: each \hat{c}_l or \hat{c}_l^\dagger must produce sign change when moved past any $\hat{c}_{l'}$ or $\hat{c}_{l'}^\dagger$, with $l > l'$. So, define the following matrix representations in $V^{\otimes N} = V_1 \otimes V_2 \otimes \dots \otimes V_N$:

$$\hat{c}_l^\dagger = \mathbb{1}_1 \otimes \dots \otimes \mathbb{1}_{l-1} \otimes \hat{c}_l^\dagger \otimes \hat{z}_{l+1} \otimes \dots \otimes \hat{z}_N = \hat{c}_l^\dagger \hat{z}_l \quad (21)$$

$$\hat{c}_l = \mathbb{1}_1 \otimes \dots \otimes \mathbb{1}_{l-1} \otimes \hat{c}_l \otimes \hat{z}_{l+1} \otimes \dots \otimes \hat{z}_N = \hat{c}_l \hat{z}_l \quad \text{'Jordan-Wigner transformation' (22)}$$

$$\text{with } \hat{z}_l = \prod_{l' > l} \hat{z}_{l'} \quad \text{'Z-string' (23)}$$

$$\underline{\text{Exercise:}} \text{ verify graphically that } \hat{c}_{l'}^\dagger \hat{c}_l = -\hat{c}_l \hat{c}_{l'}^\dagger \text{ for } l' > l.$$

Solution:

$$\begin{array}{ccccccccc} & & l & & l-1 & & l & & N \\ \hat{c}_l & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \cdots & \mathbb{1} \\ \hat{c}_{l'}^\dagger & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \cdots & \mathbb{1} \end{array} \quad \begin{array}{ccccccccc} & & l' & & l'-1 & & l' & & N \\ \hat{c}_{l'}^\dagger & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \cdots & \mathbb{1} \\ \hat{c}_l & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \cdots & \mathbb{1} \end{array} \quad (24)$$

extra sign!

$$\begin{array}{ccccccccc} & & l & & l-1 & & l & & N \\ \hat{c}_l^\dagger & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \cdots & \mathbb{1} \\ \hat{c}_{l'} & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \cdots & \mathbb{1} \end{array} \quad (25)$$

In bilinear combinations, all(!) of the \hat{z} 's cancel. Example: hopping term, $\hat{c}_l^\dagger \hat{c}_{l-1}$:

$$\begin{array}{ccccccccc} & & l & & l-2 & & l-1 & & l \\ \hat{c}_l^\dagger & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} \\ \hat{c}_{l-1} & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} & \mathbb{1} & \mathbb{1} & \cdots & \mathbb{1} \end{array} \quad (26)$$

$$= \mathbb{1} \mathbb{1} \cdots \mathbb{1} \mathbb{1} \mathbb{1} \mathbb{1} \cdots \mathbb{1} \quad (27)$$

$$= \begin{matrix} 1 \\ \downarrow \end{matrix} \quad \begin{matrix} 1 \\ \downarrow \end{matrix} \cdots \quad \begin{matrix} 1 \\ \downarrow \end{matrix} \quad \begin{matrix} c \\ \downarrow \end{matrix} \quad \begin{matrix} c^\dagger \\ \downarrow \end{matrix} \quad \begin{matrix} 1 \\ \downarrow \end{matrix} \cdots \quad \begin{matrix} 1 \\ \downarrow \end{matrix} \quad (27)$$

since at site ℓ we have $Z_\ell Z_\ell = \mathbb{1}_\ell$, $\xrightarrow{\text{(10a)}} C_\ell^\dagger Z_\ell = C_\ell^+$,
non-zero only when acting on $| \dots, n_\ell = 0, \dots \rangle$,
and in this subspace, $Z_\ell = \mathbb{1}$

Conclusion: $C_\ell^\dagger c_{\ell-1} \doteq C_\ell^\dagger C_{\ell-1}$ and similarly, $\hat{c}_{\ell-1}^\dagger \hat{c}_\ell \doteq C_{\ell-1}^\dagger C_\ell$ (28)
[using (10b)]

Hence, the hopping terms end up looking as though fermions carry no signs at all.

For spinful fermions, this will be different.

Consider chain of spinful fermions. Site index: $\ell = 1, \dots, N$, spin index: $s \in \{\uparrow, \downarrow\} \equiv \{+, -\}$

$$\{\hat{c}_{\ell s}, \hat{c}_{\ell' s'}\} = 0 . \quad \{\hat{c}_{\ell s}^\dagger, \hat{c}_{\ell' s'}^\dagger\} = 0 , \quad \{\hat{c}_{\ell s}^\dagger, \hat{c}_{\ell' s'}\} = \delta_{\ell\ell'} \delta_{ss'} \quad (1)$$

Define canonical order for fully filled state: $\hat{c}_{N\downarrow}^\dagger \hat{c}_{N\uparrow}^\dagger \dots \hat{c}_{2\downarrow}^\dagger \hat{c}_{2\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger \hat{c}_{1\uparrow}^\dagger |Vac\rangle \quad (2)$

First consider a single site (dropping the index ℓ):

Hilbert space: $= \text{span} \{|0\rangle, |\downarrow\rangle, |\uparrow\rangle, |\uparrow\downarrow\rangle\}$, local index: $\sigma \in \{0, \downarrow, \uparrow, \uparrow\downarrow\} \quad (3)$

constructed via: $|0\rangle \equiv |Vac\rangle, \quad |\downarrow\rangle \equiv \hat{c}_\downarrow^\dagger |0\rangle, \quad (4)$

$$|\uparrow\rangle \equiv \hat{c}_\uparrow^\dagger |0\rangle, \quad |\uparrow\downarrow\rangle \equiv \hat{c}_\downarrow^\dagger \hat{c}_\uparrow^\dagger |0\rangle = \hat{c}_\downarrow^\dagger |\uparrow\rangle = -\hat{c}_\uparrow^\dagger |\downarrow\rangle \quad (5)$$

To deal minus signs, introduce $\hat{z}_s = (-1)^{\hat{n}_s} = \frac{1}{2}(1 - \hat{n}_s) \quad s \in \{\uparrow, \downarrow\} \quad (6)$

We seek a matrix representation of $\hat{c}_s^\dagger, \hat{c}_s, \hat{z}_s$ in direct product space $\tilde{V} \equiv V_\uparrow \otimes V_\downarrow$. (7)
(Matrices acting in this space will carry tildes.)

$$\hat{z}_\uparrow \doteq z_\uparrow \otimes 1_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} = \tilde{z}_\uparrow \quad (8)$$

$$\hat{z}_\downarrow \doteq 1_\uparrow \otimes z_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} = \tilde{z}_\downarrow \quad (9)$$

$$\hat{z}_\uparrow \hat{z}_\downarrow \doteq z_\uparrow \otimes z_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} = \tilde{z} \quad (10)$$

$$\hat{c}_\uparrow^\dagger \doteq c_\uparrow^\dagger \otimes z_\downarrow = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} = \tilde{c}_\uparrow^\dagger \quad (11)$$

$$\hat{c}_\uparrow \doteq c_\uparrow \otimes z_\downarrow = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = \tilde{c}_\uparrow \quad (11)$$

$$\hat{c}_\downarrow^\dagger \doteq 1_\uparrow \otimes c_\downarrow^\dagger = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} = \tilde{c}_\downarrow^\dagger \quad (12)$$

$$\hat{c}_\downarrow \doteq 1_\uparrow \otimes c_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = \tilde{c}_\downarrow \quad (12)$$

$$\tilde{C}_\downarrow \doteq \mathbb{1}_\uparrow \otimes C_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \\ \hline 0 & 0 \\ 0 & 0 \end{pmatrix} = \tilde{C}_\downarrow \quad (12)$$

The factors \tilde{Z}_s guarantee correct signs. For example (fully analogous to MPS-II.1.17) $\tilde{C}_\uparrow^\dagger \tilde{C}_\downarrow = - \tilde{C}_\downarrow \tilde{C}_\uparrow^\dagger$:

$$\begin{array}{c} \tilde{C}_\uparrow^\dagger \tilde{C}_\downarrow = \begin{array}{c} \mathbb{1}_\uparrow \\ \uparrow \\ \tilde{C}_\uparrow^\dagger \\ \uparrow \\ \tilde{Z}_\downarrow \\ \uparrow \\ \tilde{C}_\downarrow \end{array} \\ \hline \tilde{C}_\downarrow \tilde{C}_\uparrow^\dagger = \begin{array}{c} \tilde{C}_\uparrow^\dagger \\ \uparrow \\ -\tilde{Z}_\downarrow \\ \uparrow \\ \mathbb{1}_\uparrow \\ \uparrow \\ \tilde{C}_\downarrow \end{array} \end{array} = \begin{array}{c} \tilde{C}_\uparrow^\dagger \\ \uparrow \\ \tilde{Z}_\downarrow \\ \uparrow \\ \tilde{C}_\downarrow \end{array} \quad \checkmark \quad (13)$$

Algebraic check:

$$\left(\begin{array}{c|c} 0 & 1 \\ \hline 1 & -1 \end{array} \right) \left(\begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \\ \hline 0 & 0 \\ \hline 0 & 0 \end{array} \right) = \left(\begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \\ \hline 0 & 0 \\ \hline 0 & 0 \end{array} \right), \quad \left(\begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \\ \hline 0 & 0 \\ \hline 0 & 0 \end{array} \right) \left(\begin{array}{c|c} 0 & 1 \\ \hline 1 & -1 \end{array} \right) = \left(\begin{array}{c|c} 0 & -1 \\ \hline 0 & 0 \end{array} \right) \quad \checkmark \quad (14)$$

Remark: for spinful fermions (in contrast to spinless fermions, compare MPS-II.28), we have

$$\tilde{C}_s^\dagger \tilde{Z} \neq \tilde{C}_s^\dagger \quad \text{and} \quad \tilde{Z} \tilde{C}_s \neq \tilde{C}_s \quad (15)$$

For example, consider $s=\uparrow$; action in $V_\uparrow \otimes V_\downarrow$:

$$\tilde{C}_\uparrow^\dagger \tilde{Z} = \begin{array}{c} \tilde{Z}_\uparrow \\ \uparrow \\ \tilde{C}_\uparrow^\dagger \\ \uparrow \\ \tilde{Z}_\downarrow \\ \uparrow \\ \tilde{C}_\downarrow \end{array} = \tilde{C}_\uparrow^\dagger \mathbb{1}_\downarrow \neq \tilde{C}_\uparrow^\dagger \tilde{Z}_\downarrow = \tilde{C}_\uparrow^\dagger \quad (16)$$

Now consider a chain of spinful fermions (analogous to spinless case, with \tilde{V}_ℓ instead of V_ℓ).

Each \hat{c}_ℓ or \hat{c}_ℓ^\dagger must produce sign change when moved past any $\hat{c}_{\ell'}$ or $\hat{c}_{\ell'}^\dagger$, with $\ell' > \ell$.

So, define the following matrix representations in $\tilde{V}^{\otimes N} = \tilde{V}_1 \otimes \tilde{V}_2 \otimes \dots \otimes \tilde{V}_N$:

$$\hat{c}_\ell^\dagger \doteq \tilde{1}_\ell \otimes \dots \otimes \tilde{1}_{\ell-1} \otimes \tilde{C}_\ell^\dagger \otimes \tilde{Z}_{\ell+1} \otimes \dots \otimes \tilde{Z}_N = \tilde{C}_\ell^\dagger \tilde{Z}_\ell^> \quad (17)$$

$$\hat{c}_\ell \doteq \tilde{1}_\ell \otimes \dots \otimes \tilde{1}_{\ell-1} \otimes \tilde{C}_\ell \otimes \tilde{Z}_{\ell+1} \otimes \dots \otimes \tilde{Z}_N = \tilde{C}_\ell \tilde{Z}_\ell^> \quad (18)$$

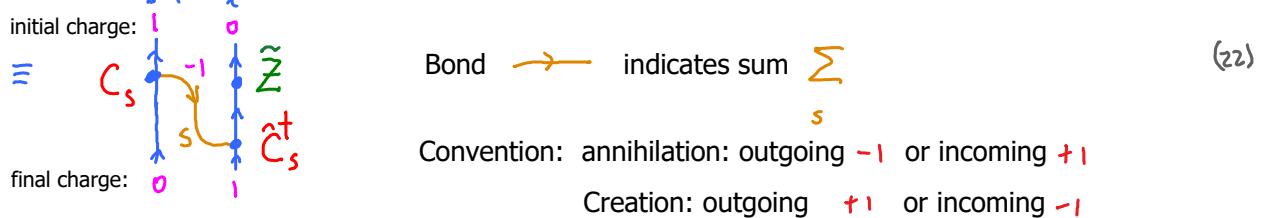
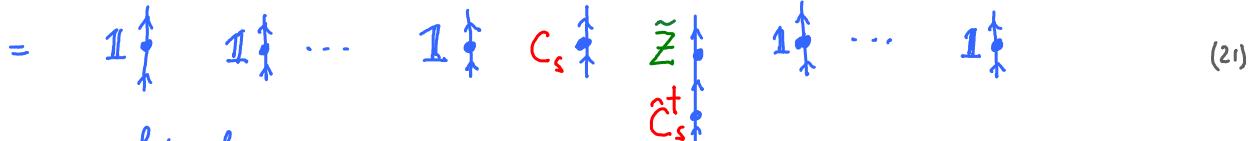
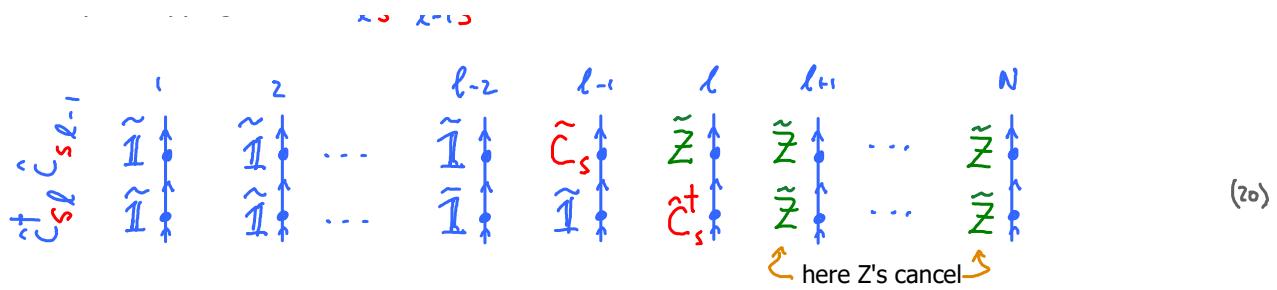
'Jordan-Wigner transformation'

$$\text{with } \tilde{Z}_\ell^> = \prod_{\otimes \ell' > \ell} \tilde{Z}_{\ell'} = \prod_{\otimes \ell' > \ell} Z_{\uparrow \ell'} \otimes Z_{\downarrow \ell'} \quad \text{'Z-string'} \quad (19)$$

In bilinear combinations, most (but not all!) of the Z 's cancel.

* Example: hopping term $\hat{c}_{\ell,s}^\dagger \hat{c}_{\ell-1,s}$: (sum over s implied)

- 1 2 $\ell-2$ $\ell-1$ ℓ $\ell+1$ N



Similarly:

